Resilience in Practice: Responding to the Refugee Crisis in Turkey, Jordan, and Lebanon

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Abstract

Little is known about how the idea of ‘resilience’ translates into practice. It has nonetheless emerged as a dominant theme in the governance of crises, such as political instability, armed conflict, terrorism, and large-scale refugee movements. This study draws on interviews with humanitarian and development practitioners in Turkey, Jordan, and Lebanon working under the Regional Refugee and Resilience Plan to explore how resilience is interpreted and translated on the ground. Results suggest that resilience is translated as the economic self-reliance of refugees, and the capacity for crisis management of refugee-hosting states, enacted through ‘localization’ and strengthening the ‘humanitarian-development nexus.’ The prominence of the political and economic context and the power relations between crisis response actors that it generates reveals the limits of what a buzzword like resilience can achieve on the ground. The findings highlight the need for researchers, policymakers, and practitioners to engage in continuous critical reflection on whether the ways in which resilience policies and programmes are implemented actually improve the ability of systems and vulnerable populations to recover from crisis, as well as on the validity of the assumptions and interpretations on which such policies and programmes are built.

Keywords

crisis governance; development; humanitarianism; resilience

1. Introduction

‘Resilience’ has emerged as a dominant theme in the governance of crises such as political instability, armed conflict, terrorism, and large-scale refugee movements. Notwithstanding its adoption by the United Nations (UN) agencies, donors, governments, and (international) non-governmental organizations ([INGOs]), resilience has been criticized for its buzzword-like qualities. Its ambiguity, in particular, has provoked questions about the concept’s usefulness for practice (Manyena, 2006) as it risks becoming “an empty signifier that can easily be filled with any meaning to justify any specific goal” (Weichselgartner & Kelman, 2014, p. 249).

Despite these concerns, buzzwords often provide a sense that “in the midst of all the uncertainties of the day, international institutions are working together for the good, and that they have now got the story right and are really going to make a difference” (Cornwall & Brock, 2005, p. 1043). Against the backdrop of protracted crises across many regions of the world and unprecedented numbers of refugees, ‘resilience’ may promise the ability “to anticipate and tolerate disturbances...without collapse, to withstand shocks, and to rebuild as necessary” (Lentzos & Rose, 2009, p. 243). Little is known, however, about how this translates into practice.

The objective of this study is to explore how the concept of resilience is interpreted and translated into practice. For many humanitarian and development practitioners, the focus on resilience is linked to the implementation of the Regional Refugee and Resilience Plan (3RP), a joint initiative of the United Nations (UN) and the European Union (EU) to support countries hosting refugees. The 3RP is a five-year strategy, 2016–2020, that aims to strengthen the capacities of countries to absorb and manage refugee response, to invest in the resilience of host communities, and to support refugee self-reliance (UNHCR, 2016). The focus of this article is on the implementation of the 3RP in Turkey, Jordan, and Lebanon. This choice was made to reflect the rapid and unprecedented growth in numbers of refugees in these countries, and the intense focus on addressing their needs and supporting the resilience of host countries, as part of the 3RP (UNHCR, 2016).
practice. It draws on 40 interviews with 47 humanitarian and development practitioners in Turkey, Jordan, and Lebanon working under the Regional Refugee and Resilience Plan (3RP). This crisis response platform assists countries surrounding Syria with managing the influx of large numbers of Syrian refugees—including through what practitioners have termed ‘resilience-building.’ Bringing together some 270 humanitarian and development actors, including governments, UN agencies, national and international NGOs (3RP, 2019), the 3RP constitutes “one of the biggest humanitarian operations ever realized by the UN” (Dionigi, 2016, p. 27).

I argue that in practice, the concept of ‘resilience’ becomes imbued with particular—even narrow—meanings contingent on crisis response actors’ interpretations of the context, which in turn determine how resilience, as a capacity for recovery, is ‘built.’ To what extent resilience policies and programmes can achieve results on the ground, however, ultimately depends on the political and economic context and the power relations it generates between crisis response actors. In this way, the results provide empirical evidence for the political nature of crisis governance, which, rather than a technocratic exercise, is “shaped by the people, institutions and history of the context in which crises happen” (Hilhorst, 2013, p. 5).

The article unfolds as follows. The next section offers an overview of the literature on resilience (Section 2). Next, I propose a conceptual framework for understanding the translation of resilience into practice, based on theories of translation that allow for recognition of, and sensitivity to, the various dimensions of power and politics at play in crisis governance (Section 3), followed by a brief overview of methods (Section 4). Subsequently, the 3RP is described in more detail (Section 5), followed by the empirical material (Section 6). The article concludes with a discussion of the results and the implications for research, policy, and practice (Sections 7 and 8).

2. What is Resilience?

Resilience is not a new concept. It stems from the Latin resilire, which means to ‘leap’ or ‘jump back.’ Throughout history, resilience has been used, on the one hand, to describe the quality of materials to bend without breaking (Bourbeau, 2018). On the other hand, it referred to human character and behaviour: A resilient person possessed the trait of fickleness and would cancel or go back on their word (Alexander, 2013). From the mid-19th century onwards, however, resilience started to be used in the sense of fortitude after a misfortune. A US military expedition to the east coast of Japan, which had been struck by the Ansei-Tokai earthquake of 1854, identified “a resiliency in the Japanese character which spoke well for their energy. They [the Japanese] did not sit down and weep over their misfortunes, but, like men, went to work, seemingly but little dispirited” (Hawks, 1856, pp. 511–512; see also Alexander, 2013).

The 1970s witnessed a proliferation of theories and research on resilience in psychology and ecology. Within psychology, the turn to resilience marked a shift in the focus on vulnerability and deficiency to protective factors and adaptive capacities (Masten, 2013, 2018). Within ecology, resilience was coined as a measure of the ability of ecological systems to persist despite change and disturbance and to reorganize while maintaining their functions (Holling, 1973; Walker, Holling, Carpenter, & Kinzig, 2004). Intellectual exchange between ecologists and risk management scholars has likely facilitated the uptake of resilience in the field of the latter (Clark & Swain, 1975). In the 1980s, resilience was championed as a strategy to mitigate the effects of a crisis—being both better and cheaper than either anticipation or prevention (Wildavsky, 1988). Resilience was subsequently picked up by disaster scholars and practitioners, becoming an integral component of international disaster risk reduction frameworks (Comfort, Boin, & Demchak, 2010).

In the first half of the 2010s, resilience emerged as a central axiom of humanitarian and development aid, reflected in its adoption by major donors, including Britain’s Department for International Development, the United States Agency for International Development, and the European Commission. In 2016, moreover, the concept was placed at the heart of the European Global Strategy for Foreign and Security Policy, sparking an impassioned, critical debate about the meaning of resilience and the repercussions for those at the receiving end of resilience policies. These critiques can be organized around three main arguments: First, because resilience manifests in response to a crisis, i.e., after the event, it assumes not only the inevitability of crises but also the insignificance of interrogating the (structural) causes of crises (e.g., Evans & Reid, 2013, 2014); second, resilience tends to responsibilize individuals, communities, and states for their resilience—de facto also responsibilizing them for their vulnerability (e.g., Ilcan & Rygiel, 2015; O’Malley, 2010); and third, resilience may be considered a neoliberal strategy to outsource security to crisis-affected individuals and communities, taking responsibility off the shoulders of states or the international community (e.g., Chandler & Reid, 2016; Duffield, 2012).

Concerned in particular with the ontologies and epistemologies that underpin the global turn to resilience and with the interrogating resilience discourses in policy, critical resilience scholarship has thus far not systematically engaged with how resilience policies are interpreted and, in turn, implemented by practitioners. An exception is a study by Aldunce, Beilin, Howden, and Handmer (2015), which identifies different understandings of resilience among natural disaster management practitioners, and how these subsequently generate different practices. Moreover, Scott-Smith (2018) argues that whereas critical scholars have interpreted resilience as a depoliticizing concept, humanitarian practitioners have instead denounced it for politicizing their work. This
paradox points towards the importance of examining practitioners’ views on policy concepts and how these shape programme design and implementation. The next section offers a theoretical framework through which the implementation of ‘resilience’ can be conceptualized.

3. A Politics of Translation

The various constructivist turns in the study of policy and politics attest to the growing interest in, and the perceived importance of, the role of ideas and discourse in policy and political processes (Fischer & Gottweis, 2012). The role of ideas in policy implementation has nonetheless been neglected, mirrored by a lack of attention to ideas in implementation research (Béland & Ridde, 2016). Exploring how the policy idea of resilience is put into a set of programmes and operational practices necessarily engages with this nexus between ideas and implementation—for which the concept of ‘translation’ may provide a useful theoretical framework.

Weisser, Bollig, Doevenspeck, and Müller-Mahn (2014) argue that “people do not act according to the script of a single global idea, but that they appropriate or modify parts of that script and also invent new ones” (p. 112). Instead, “as policy travels across languages, sites and scales, it is produced, assembled, enacted and populated differently” (Clarke, Bainton, Lendvai, & Stubbs, 2015, p. 60). In other words, as ideas travel—not just across the boundaries of academic disciplines and policy areas or across geographical borders, but also from the global to the local and from policy to practice—they are modified as a result of translation processes (Weisser et al., 2014). Rather than being simply implemented, therefore, “policies are interpreted and ‘translated’” (Braun, Maguire, & Ball, 2010, p. 549). “Translation” may be defined as:

[T]he process of modification of policy ideas and the creation of new meanings and designs in the process of the cross-jurisdictional travel of policy ideas. Translation allows viewing the ‘global’ in ‘local,’ and ‘local’ in ‘global,’ with regard to the adoption, implementation and travel of ideas and enables simultaneous consideration of ideas, objects and interests. (Mukhtarov, 2014, p. 76)

Importantly, a translation perspective draws attention to three interrelated aspects of policy implementation: the transformation of ideas, the agentic capacities of ‘translators,’ and the role of context.

First, a translation perspective acknowledges that the process of translating a global policy idea to a particular locality “always involves transformation” (Czarniawska & Sevón, 2005, p. 8). Translation indicates that a policy idea:

Is made to mean something in its new context. Policy is never a singular entity: it is put together—or assembled—from a variety of elements that are always in the process of being re-assembled in new, often surprising ways. (Clarke et al., 2015, p. 10)

Ideas are “edited, translated, and cobbled together from various sources for idiosyncratic use” (Powell, Gammal, & Simard, 2005, p. 237). This means that ‘resilience,’ translated to the operational context of the 3RP, will be imbued with meanings different from those attributed to the more abstract notion of resilience in global policy frameworks.

Second, translation processes involve ‘translators’: actors who actively interpret and transform ideas in accordance with the context within which they operate. Traditional perspectives have tried to capture the travel of ideas in terms of policy ‘transfer’ or ‘diffusion,’ which imply “a central broadcast point and wide reception with rather passive receivers” (Powell et al., 2005, p. 233). Ideas, however, “do not travel by themselves, nor are they pushed around by forces such as regionalisation, neoliberalism, or globalisation” (Mukhtarov, 2014, p. 76). A translation perspective instead underlines the agentic capacities of translators, and unlike much policy implementation research, leaves behind assumptions of rationality and intentionality (Mukhtarov, 2014). Translators “always act according to existing interests and always operate within certain power relations, [therefore] they are likely to transform concepts according to very particular intellectual, epistemological, political, and historical requirements” (Neumann & Nünning, 2012, p. 9).

Third, Braun, Maguire, and Ball (2010) note that “[p]utting policies into practice is a creative, sophisticated and complex process that is always also located in a particular context and place” (p. 549, emphasis added). Translation is a process of recontextualization (Braun, Ball, Maguire, & Hoskins, 2011) that is “characterized by selective appropriations and translations according to historical and local circumstances” (Neumann & Tygstrup, 2009, p. 1). The outcome of translation processes is thus contingent on the various political, economic, and social incentives that exist within the particular context—and are meaningful only within that context (Mukhtarov, 2014; Weisser et al., 2014). Because translation always serves an interest (Freeman, 2009), “some things are made visible while others are hidden or erased” (Clarke et al., 2015, p. 49). This enables a politics of translation (Clarke et al., 2015) that allows for exploring the role of power relations in how policy ideas translate into practice.

As ideas, because they travel from the global to the local and from policy to practice, a politics of translation perspective calls attention to idea transformation, the role of actors, and the impact of contextual factors. These aspects provide the analytical tools to explore the context-specific meanings of a global policy buzzword like resilience, and how it is translated into practice by various actors working under the banner of the 3RP in Turkey, Lebanon, and Jordan.
4. Methods

Forty semi-structured interviews of approximately one hour were held with 47 humanitarian and development practitioners between October 2018 and June 2019 in Gaziantep, Amman, and Beirut, as well as over Skype. Relevant organizations were initially identified from 3RP documentation, including the regional strategic overview and the 3RP country chapters. Potential respondents were subsequently identified from organizations’ websites, policy documents and publications, or from country-specific online civil society platforms, such as the Lebanese website www.daleel-madani.org. They were approached via email or social media for a face-to-face or Skype interview.

Respondents represent 32 different organizations. Nine (28%) are Turkish, Jordanian, or Lebanese civil society organizations operating at the national level (referred to as national CSOs), or organizations established by Syrians in Turkey (referred to as Syrian-led CSOs). Twenty-three (72%) are international organizations, with headquarters outside Turkey, Jordan, or Lebanon. These included 15 INGOs, five UN agencies, and three different governmental organizations, including a diplomatic mission, a donor agency, and a network organization. At least 23 respondents (49%) were Turkish, Lebanese, Jordanian, or Syrian nationals—the remainder were international expats. Seventeen respondents were female (36%), 30 were male (64%). Nearly all respondents held management positions in the area of programmes, coordination, or partnerships. For some, their work focused exclusively on the national (Turkish, Lebanese, or Jordanian) context, others (also) worked at the regional level, i.e., across the different 3RP countries, or on cross-border operations into Syria. They were, therefore, familiar with the national and regional policy discussions and processes, as well as their organizations’ programmes and impact thereof on the ground. Respondents were thus in a good position to talk about how resilience translates into practice. Due to the political nature of the crisis, respondents remain anonymous.

Respondents were asked about the crisis response, their understanding of resilience, and what resilience means within an operational context. In addition, they were asked about the roles of and the relationships between the different actors involved in crisis response, including the Turkish, Jordanian, and Lebanese governments, UN agencies, INGOs, and Turkish, Jordanian, Lebanese, and Syrian-led CSOs.

Interviews were audio-recorded and transcribed verbatim. Written transcripts were subjected to a thematic analysis to “identify or examine the underlying ideas, assumptions, and conceptualizations—and ideologies—that are theorized as shaping or informing the semantic content of the data” (Braun & Clarke, 2006, p. 85). This included familiarization with the data (e.g., reading and re-reading interview transcripts) and coding using Atlas.ti. Themes were constructed by clustering codes into meaningful patterns and refined through an iterative examination of the themes in relation to each other and the research question. Together, the themes tell “a story that is based on, and about, the data, that makes sense of the patterning and diversity of meaning” (Terry, Hayfield, Clarke, & Braun, 2017, p. 30).

5. Setting the Scene: The Regional Refugee and Resilience Plan

One year after pro-democracy protests in the southern Syrian town of Dara’a spread across the country triggering a violent crackdown from the government, the UN High Commissioner for Refugees (UNHCR) had registered 40,000 refugees crossing Syria’s borders into Jordan, Lebanon, Turkey, and Iraq (United Nations High Commissioner for Refugees [UNHCR], 2012). In response, the agency launched the first Regional Response Plan (RRP) in March 2012 to address “the needs for protection and assistance of refugees fleeing from the Syrian Arab Republic” (UNHCR, 2012, p. 4). The years that followed not only witnessed Syria spiral into a complex multi-layered conflict drawing in a growing number of non-state armed groups and international actors, but also saw refugee-hosting countries grapple with the rapidly increasing numbers of refugees. By late 2014, UNHCR had registered 4,270,000 Syrian refugees in Turkey, Jordan, Lebanon, Iraq, and Egypt (3RP, 2014).

Recognizing the impact of the Syria crisis on the region, the UN Development Programme (UNDP) introduced a ‘resilience-based’ development response to the Syria crisis in 2014 (Gonzalez, 2016). Whereas resilience discourses were largely absent in UNHCR’s RRPs, UNDP argued that “[w]here situations and conditions have stabilised, and people and communities are coping and beginning to recover, development assistance that builds resilience can accelerate their recovery and enhance their capacities to prosper independently” (UNDP, 2014, p. 16).

The 3RP was launched in December 2014, combining UNHCR’s short-term humanitarian emergency response with UNDP’s longer-term development approach, in what is known among practitioners as the ‘humanitarian-development nexus’ (see also Hilhorst, 2018). The New Way of Working—one of the outcome documents of the 2016 World Humanitarian Summit—describes the nexus as humanitarian and development actors working towards collective outcomes, based on their comparative advantage in terms of capacity and expertise (United Nations Office for the Coordination of Humanitarian Affairs, 2017).

The 3RP resilience component addresses “the resilience, stabilization and development needs of impacted and vulnerable communities and aims to strengthen the capacities of national actors to lead the crisis response” (3RP, 2019, p. 20). It defines resilience as “the ability of individuals, households, communities and institutions to anticipate, withstand, recover and trans-
form from shocks and crises” (3RP, 2019, p. 9). As central tenets of a ‘resilience approach,’ the plan specifically refers to the need to “increase self-reliance and self-sufficiency of vulnerable populations through market-based skills training and employability, income generation opportunities and entrepreneurship programmes” (3RP, 2019, p. 9) and to “work together with government, national and local institutions to strengthen existing service delivery systems, to identify vulnerabilities and address needs and risks…and improve capacities to manage future shocks” (3RP, 2019, p. 9). It does not, however, clarify how these activities lead to resilience as defined in the 3RP.

6. Results

6.1. Understanding Resilience in the 3RP Context

First, in line with 3RP discourse and across the different contexts, ‘resilience’ was above all understood in terms of self-reliance. As one respondent explained, “building resilience is about creating a conducive environment for refugees, host communities, government, municipalities—all stakeholders—to take care of themselves without or with less external support, in a sustainable way” (interview 13-J13, network organization). This was seen to apply to both individuals and the state, as another respondent pointed out:

The resilience of beneficiaries is the capacity of a person to take care of their basic needs, and the capacity to cope positively with difficult situations. Then there is the organizational perspective, of NGOs, of national government. This doesn’t mean building the resilience of each individual in the country, but of the national structure of the country. (Interview 6-J6, INGO)

More specifically, system resilience was understood as the capacity of the state to continue responding to the crisis without breaking under the pressure of the additional demands of a large refugee population for public services and resources. This generated a focus on strengthening the political, economic, and social systems and structures that exist at local and national level. Individual resilience was more narrowly understood as economic self-reliance, with a focus on access to employment—particularly of refugees residing in urban areas. In the words of one respondent: “you can’t have resilience if you can’t have the ability to work” (interview 10-J10, INGO). Another respondent in Turkey explained:

From the field, we hear that most refugees are thinking about staying here. Also, the situation in Syria is not settled down...we don’t know if a political solution will come. So, we need to increase refugees’ employability and increase their self-reliance. So that when they are here in Turkey, they are working and generating an income to survive. (Interview 37-T12, UN agency)

Second, these context-specific understandings of resilience were rationalized based on respondents’ understanding of the context as a protracted crisis occurring in middle-income countries. Respondents recognized that the unpredictability of the situation in Syria demands thinking beyond the traditional short-term time horizons of emergency aid, and towards longer-term solutions, regardless of whether Turkey, Jordan, and Lebanon accept the possibility of refugees’ long-term presence, let alone employment. According to one respondent:

Resilience means durable, long-term solutions. It includes empowerment, community-based protection, it includes improving the capacity of the state institutions—all these are components of resilience. The main idea of resilience is long-term solutions. Without resilience, all solutions are temporary, meaning a waste of money, waste of resources, and waste of time. (Interview 39-T14, Turkish CSO)

Respondents felt that ‘resilience-building’ was not only necessary because of the protracted nature of the crisis, but also because, unlike the more traditional contexts of humanitarian and development work, Turkey, Jordan, and Lebanon are middle-income countries. As a respondent in Jordan observed:

I don’t think anyone would deny the reality that you have a very strong structure. It’s a middle-income country, there are qualified professionals, there is foreign investment….You have political and bureaucratic mechanisms, there is an administration….I mean, it’s a country with a strong state, it’s not as if you were implementing in a failed state like Somalia. (Interview 13-J13, INGO)

This has required a different way of working, because, as another respondent admitted: "Obviously, a lot of us worked in countries where there's almost no state, and we're used to create these parallel systems because there is no government system that can do it" (Interview 26-T1, UN agency). In the context of a middle-income country, ‘resilience-building,’ in terms of tapping into and strengthening the existing political, economic, and social systems and infrastructures, makes more sense and is more sustainable than setting up parallel structures which only disappear once international actors leave.

Third, respondents identified two primary practices of ‘resilience-building’: the first occurring through the strengthening of the ‘humanitarian-development nexus,’ and the second through ‘localization.’

Respondents largely understood the humanitarian-development nexus as a combination of, on the one
hand, humanitarian assistance for refugees (as per the traditional UNHCR mandate), and, on the other hand, development assistance for refugee-hosting states and vulnerable host communities. Especially the dual focus on refugees and vulnerable host communities was seen as a necessary strategy to prevent potential social tensions resulting from selective aid provision:

It’s a vulnerability approach, which is logical. If you’re living in northern Jordan and suddenly your village has doubled its population, and all the Syrians get humanitarian aid…cash assistance…that doesn’t work. Everyone realized quite early on that if we don’t also provide assistance to host communities, things will go wrong. (interview 9-J9, diplomatic mission)

The need to connect humanitarian aid and development assistance was furthermore rooted in respondents’ understanding of crises as complex, where the emergency, early recovery and development phases overlap rather than forming an orderly sequence. Donors seem reluctant to accept this reality, however, as a respondent in Lebanon described:

Donors were turning a blind eye to the refugees in informal settlements who still have nothing. They’re indebting themselves, they have no jobs, and they’re just living by whatever the international community provides. Donors kind of decided that this can’t happen anymore, this can’t be there anymore, this only happens at the beginning of a crisis, emergency should be over now, we should focus on other things. They would say “we’re six years into the crisis, how the heck can you still have this?” (interview 16-L3, INGO)

Another challenge to realizing the humanitarian-development nexus may be the relationship between UNHCR and UNDP (see also Zetter, 2020). In the words of one respondent: “The cultural differences between the organizations, it’s just profound” (interview 5-J5, UN agency).

Respondents interpreted localization broadly as the involvement of Turkish, Jordanian, and Lebanese actors. At the level of state actors, localization meant ownership of the national government and authorities at the local level, e.g., municipalities, over the crisis response. Respondents perceived these actors as having the ultimate responsibility for responding to the needs of their population—including refugees—necessitating their position in ‘the driver’s seat.’ At the level of non-state actors, localization meant specific practices within INGOs, such as employing Turkish, Jordanian, Lebanese, or Syrian staff and partnering with Turkish, Jordanian, Lebanese, or Syrian-led CSOs. Moreover, localization also involved ‘building the capacity’ of such partner organizations with a view to an eventual handover. These ‘localization strategies’ have led to varying degrees of involvement of Turkish, Jordanian, and Lebanese state and non-state actors, which is discussed in more detail in the next sub-section.

Summing up, the concept of resilience is largely understood in terms of self-reliance, which can be ‘built’ through strengthening the humanitarian-development nexus and through localization. What this looks like in practice, however, depends on the political, economic, and social context of the three countries. Although a systematic country comparison is beyond the scope of this article, the next section discusses prominent examples from each context.

6.2. The Contextual Limits to ‘Building Resilience’

6.2.1. Turkey

Respondents characterized Turkey, above all, as a strong state with a capacity to lead on the response. As one respondent asserted: “Unlike Jordan and Lebanon, Turkey is a player in its own right” (interview 20-J14, INGO). Initially, Turkey’s Disaster and Emergency Management Presidency (AFAD) was responsible for overseeing the crisis response, a role that was later handed over to the Directorate General of Migration Management. Some respondents observed that few international actors were used to working in a strong government context:

The UN has experience working with very weak governments. They are used to managing everything, getting all the information they need. And they thought they could work like that in Turkey. In Jordan, the UN agencies are managing everything, the cash programmes, collecting iris scan data…you can’t do that in Turkey. (interview 32-T7, Turkish CSO)

At the same time, suspicion, expulsion, and detainment of staff have proven Turkey a challenging work environment especially for INGOs (see also Boztaş, 2019; Cupolo, 2017; Mellen & Lynch, 2017). Respondents pointed out that Turkey wished to limit western donors’ influence, accusing international organizations of lacking the proper registration or of financing terrorist organizations. Nonetheless, the Turkish government was seen as understanding of the need for ‘durable solutions,’ engaging with, in particular, the Turkish private sector to provide refugee employment.

Typical for Turkey was the rise and professionalization of Syrian-led NGOs (organizations established by Syrians in Turkey) engaged in both the refugee response within Turkey and cross-border operations into Syria. Respondents saw their involvement as an important way to localize the crisis response, but also noted challenges, in particular with regards to the funding system. Specifically, in the absence of a direct link between institutional donors and Syrian-led (or otherwise Turkish, Jordanian, and Lebanese) organizations, funding is channeled through INGOs and typically limited to project-
specific costs—excluding costs related to staff salaries, rent, and administration (see also Field, 2016). Donor requirements remain another stumbling block, as one respondent explains:

One of the conditions of ECHO [European Civil Protection and Humanitarian Aid Operations] is to be registered in Europe for five years. So, as a local organization, it’s not possible to get funding from ECHO. There are also requirements with regards to capacity, ... And we have been working on our capacity since we registered in Turkey in 2014, but even then, we’re still a new organization. You can’t expect us to live up to the standards of Oxfam or Save the Children. (Interview 38-T13, Syrian-led NGO)

Another respondent shared an example whereby their local partner received a direct grant, but also put this into perspective: “It’s good…but it’s also too late. After eight years, we’re talking about one partner who got directly funded by an institutional donor” (Interview 27-T2, INGO).

The examples from the Turkish context show how national governments may secure state-level localization by limiting the humanitarian space for international actors. At the same time, the funding structures of the international aid system limit the possibilities for localization at the level of non-state actors. These findings challenge the concept of localization and the extent to which it can ‘build resilience.’

6.2.2. Jordan

From the start of the crisis, the Jordanian government was involved in decision-making, planning, and coordination. It appointed the Ministry of Planning and International Cooperation (MoPIC) as the lead agency and established a secretariat and information management system. Nonetheless, respondents expressed their frustration with the Jordanian crisis response system in terms of its bureaucracy, lack of capacity, and instances of corruption. In particular, respondents complained about the time it took the government to grant project approval—in some extreme cases taking over six months to a year. This was dependent on the relationship between INGOs and line ministries, as one respondent illustrated:

I had a good relationship with MoPIC, my projects were often approved within a month. But I remember other organizations had a hellish relationship with them...government is like “I don’t like you, you can wait.” Why? In the end, I’m sorry to say, it’s personal. (Interview 4-J4, INGO)

Project approval also depends on how aid is divided between Syrian and Jordanian beneficiaries. Respondents explained that under the 3RP protection and resilience pillars, projects must target at least 30% and 70% vulnerable Jordanians, respectively. In this way, Jordan ensures that both humanitarian and development assistance benefits its own citizens. As with previous waves of Palestinian and Iraqi refugees, several authors have noted Jordan’s tendency to leverage its position as a refugee-hosting state to increase access to international aid (Arar, 2017; Kelberer, 2017; Tsourapas, 2019). Respondents did not seem to find this particularly problematic, however, justifying this strategy on the basis of Jordan’s stagnant economy, high unemployment, and lack of resources.

Under the European Union (EU)–Jordan Compact, Jordan agreed to provide refugee employment in exchange for EU aid and the relaxation of the requirements for exporting to EU markets. Respondents observed, however, that the nature of the Jordanian economy—predominantly informal and largely dependent on the public sector and armed forces—makes refugee employment an unlikely reality:

The official figures of more than 100,000 work permits should not be taken as the reality; most of them are inactive. It was a condition imposed by the international community within the Brussels conference and the Jordan Compact, but it’s very difficult for the government to put that into place in an informal economy. A lot of Jordanians are working informally, they don’t have a contract, they don’t have social security, they don’t pay taxes...how can you expect Syrians to have a better legal framework than the Jordanians? (Interview 13-J13, network organization)

These examples from the Jordanian context show how national governments may use the humanitarian-development nexus to capitalize on the presence of refugees. The findings also illustrate how, irrespective of international agreements, refugee employment ultimately depends on the nature and state of host countries’ economies. This challenges the usefulness of the concept of resilience, if narrowly defined as economic self-reliance.

6.2.3. Lebanon

In Lebanon, the government initially pursued a “policy of no-policy” (Nassar & Stel, 2019), leaving it up to UNHCR to respond to the increasing number of Syrian refugees. When the Lebanese government eventually intervened, it did so by suspending UNHCR’s refugee registration services in 2015 (Janmyr, 2018). Unlike Turkey and Jordan, Lebanon did not allow for the establishment of official refugee camps, a decision respondents felt was influenced by Lebanon’s experience with the Palestinian refugee camps and their perceived role in the civil war (see also Turner, 2015).

Lebanese authorities have maintained a hostile refugee discourse, emphasizing the temporariness of
refugees’ stay and calling for their return to Syria. Moreover, it has actively created a restrictive environment to discourage refugees from remaining in Lebanon. Besides the prohibition of refugee employment, high fees for residency permits and ambiguous enforcement of fee waivers, curfews, illegal detentions, discrimination and exploitation, the government has publicly attacked international actors for assisting refugees. As one respondent pointed out: “Policymakers and ministers here will accuse anyone, humanitarian agencies, the UN, the international community, of wanting the refugees to stay and that this is why they are granting so much assistance” (Interview 15-L2, UN agency). Another respondent illustrated:

There was a lot of momentum when the Russians proposed a plan for returning refugees. The Lebanese media and politicians created a real hype because everybody wants the refugees to go back. We spoke out against it and said that it’s not safe to go back. UNHCR got hit over the head by the foreign minister, and this is why they are still struggling to renew the residence permits of their staff. (Interview 16-L3, INGO)

Respondents noted resistance from the Lebanese government to the idea of resilience (see also Culbertson, Olker, Baruch, & Blum, 2016; Fakhoury, 2019). In light of Lebanese politicians’ anti-refugee rhetoric, this is not surprising, given the conceptualization of resilience as refugees’ economic self-reliance, which necessitates access to employment opportunities. In turn, the government anticipates that refugees’ employment would facilitate their integration into Lebanese society rather than encourage return to Syria. One respondent suggested that:

They didn’t want to use the 3RP terminology, they used stabilization instead. I don’t know what the issue of the government with resilience was, I think it was too long-term or something like that. So, they used stabilization. But I think even that has worn out because everybody has been overtaken by the reality that this is just a protracted crisis. (Interview 16-L3, INGO)

What this will mean for Lebanon, which besides the refugee crisis is struggling with political instability, high unemployment, and more recently, widespread protests against corruption, economic collapse, and the outbreak of Covid-19, remains unclear. The Lebanese context clearly shows how host country politics characterized by hostility and resistance restrict the space for ‘resilience-building’ programmes. The findings underline the crucial role of CSOs to navigate the political context and find ways to prevent refugees and host communities from becoming increasingly vulnerable.

Together, these examples show that, within the context of the 3RP, ‘resilience,’ defined in 3RP documentation as the ability to “anticipate, withstand, recover and transform from shocks and crises” (3RP, 2019, p. 9), narrowly translates into a focus on economic self-reliance at the individual level, and as crisis management capacities at the state level. Justified by practitioners’ understanding of the needs and the context, this interpretation of resilience is put into practice by linking humanitarian and development assistance, as well as engaging in practices of ‘localization.’ Ultimately, however, what the concept of resilience can achieve on the ground is constrained by the structural challenges inherent to the political and economic context, especially the relations between international actors on the one hand, and Turkish, Jordanian, Lebanese, or Syrian actors on the other.

7. Discussion

This study finds that practitioners associate ‘resilience’ predominantly with ‘self-reliance,’ echoing earlier findings by, for example, Aldunce et al. (2015). Conceptualized as economic self-reliance, moreover, resilience mirrors the neoliberal tendencies in established refugee self-reliance discourses, which portray the ideal refugee as an entrepreneur with “the skills, capacity and agency to stand on their own and sustain themselves without depending on external humanitarian aid” (Easton-Calabria & Omata, 2018, pp. 1458–1459). As Easton-Calabria and Omata (2018) note, however, “employment opportunities do not necessarily lead to refugee self-reliance, nor are they alone a remedy for protracted situations” (p. 1459).

The examples from Turkey, Jordan, and Lebanon illustrate that refugees’ access to employment opportunities hinges on host countries’ willingness to provide the necessary enabling environment (Easton-Calabria & Omata, 2018; Krause & Schmidt, 2020). Resilience-programming, in the form of “market-based skills training and employability, income generation opportunities and entrepreneurship programmes” (3RP, 2019, p. 9) is a farce in the face of poor host economies and hostile political environments. Moreover, the reality of refugee employment is often defined by exploitation, vulnerability, and discrimination (Mencutek & Nashwan, 2019)—unlikely antecedents of either self-reliance or resilience. Nonetheless, the focus on access to jobs seems firmly entrenched within contemporary refugee governance (UNDP, International Labour Organization, & World Food Programme, 2017).

Despite the convergence of their meanings as practitioners understand them, resilience and self-reliance are not the same things. As Krause and Schmidt (2020) point out, “self-reliance mainly suggests that refugees can support themselves, whereas resilience indicates their broader ability to absorb and deal with difficult situations and crises” (p. 23). Rather than a similarity to self-reliance or self-reliance as a constitutive element, theoretical explorations of resilience instead point to the importance of social networks and interdependencies.
(Aldrich & Meyer, 2015). This demands inquiry into the motives behind the coalescence of resilience and self-reliance discourses in practice, even more so in the light of indications that self-reliance discourses have been used to justify the reduction of assistance (Hunter, 2009).

This study also finds that practitioners understand resilience-building as strengthening refugee-hosting states’ capacities to manage the impact of the Syria crisis—particularly the pressure created by increased demand for public services. Inasmuch as Turkish, Jordanian, Lebanese, and Syrian-led CSOs are largely excluded by virtue of the very structure of the international crisis response system, ‘localisation’ is narrowly translated to ‘nationalisation.’ Critical analyses of the localization agenda within humanitarian and development assistance have already called into question the local-international binary that “results in blind spots in the analysis of exclusionary practices of humanitarian action” (Roepstorff, 2020, p. 285).

On the one hand, emphasis on the responsibility of the governments of Turkey, Jordan, and Lebanon—under the header of ‘localization’ or ‘local ownership’—diverts attention away from the responsibility of the international community to ensure more equal burden-sharing. Elsewhere, I have argued that under the guise of resilience, the EU pursues a refugee-containment strategy that risks the further destabilization of Jordan and Lebanon rather than “build their resilience” (Anholt & Sinatti, 2020). On the other hand, nationalisation risks legitimizing what Tzourapas (2019) has described as “refugee rentiering.” He argues that Turkey, Jordan, and Lebanon have employed “their position as host states of forcibly displaced populations to extract revenue, or refugee rent, from other state or non-state actors” (Tzourapas, 2019, p. 465). In this way, ‘resilience-building’ may be a win-win for refugee-hosting states and donor governments wishing to keep refugee populations outside their borders, but a lose-lose situation for refugees.

8. Conclusions

This article has examined how the concept of resilience is translated into practice. Findings from interviews with humanitarian and development practitioners working in the context of the 3RP in Turkey, Jordan, and Lebanon illustrate how resilience takes on the meaning of self-reliance as it travels from the global to the local, and from policy to practice. Above all, the findings demonstrate the power of the political and economic context to restrict the agential capacities of ‘translators,’ revealing the limits of what policy buzzwords can achieve on the ground.

For policymakers and practitioners, continuous critical reflection on the interests and agendas that inform context-specific interpretations of resilience and whether ‘resilience-building’ programmes and operational practices actually improve the ability of systems and vulnerable populations to recover from a crisis is paramount. For resilience scholars, the next step is the in-depth examination of the—possibly conflicting—varieties of resilience that are likely to exist within and across different contexts, how these translate into divergent practices, and what impact these have on the ground.

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