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GIGA ANNUAL REPORT 2016

GIGA
German  Institute of Global and Area Studies
Leibniz-Institut für Globale und Regionale Studien

GIGA ANNUAL REPORT 2016

PREFACE



Dear Readers

The GIGA stands for a global approach to scholarship. We conduct research here that is global in content, global in reach, and global in structure. In 2016, we continued to develop and refine this unique approach. We also fulfilled our goal of publishing our research results in the top-ranked academic outlets. Simultaneously we have been intensifying and diversifying university cooperation here in Germany, finding the right partners for joint appointments, for teaching programmes, and for the training of junior researchers.

The Institute uses its research as the basis of its advice to policymakers. Our cooperation with the Federal Foreign Office intensified at all levels last year. A particular highlight was the visit of the then Federal Foreign Minister Frank-Walter Steinmeier as a speaker at the GIGA Distinguished Speaker Lecture Series. We are also proud to work closely with the government and people of Hamburg to enhance the academic reputation of this city. To improve the accessibility of our scholarship we reformed the GIGA Focus series that provides brief analyses on important regional and global issues. Our publications, and events that draw international participation of top scholars from top institutions, have allowed us to engage with diverse audiences and constituencies, and contribute to a further enrichment of German academic and public debate.

This Annual Report presents some of the highlights of our work last year.

Prof. Dr. Amrita Narlikar, D.Phil. (Oxon), Ph.D. (Cantab)
President of the GIGA

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HIGHLIGHTS

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HIGHLIGHTS 2016

HIGH-LEVEL CONFERENCE ON EXTERNAL INTERVENTIONS



In the “External Intervention in the Age of Global Transitions” conference, held in early December in Hamburg, GIGA researchers together with other leading scholars and decision makers from around the world discussed how global power shifts can lead to major tensions in the context of interventions.

www.giga-hamburg.de/global-transitions-2016

GIGA GRANTS CAS AWARD TO SEAN YOM

Dr. André Bank and
Prof. Sean Yom, PhD



Professor Sean Yom of Temple University, Philadelphia, won the 2016 GIGA Comparative Area Studies (CAS) Award for his article “From Methodology to Practice: Inductive Iteration in Comparative Research,” published in *Comparative Political Studies*. In the article, Yom presents an innovative approach that makes it possible to repeatedly question, test, and adapt hypotheses and methods over the course of a CAS-related research process. An international jury selected the winning article from over 30 submissions. Through its CAS Award, the GIGA promotes the development of this young and innovative research field. The EUR 2,500 prize is awarded every two years.

www.giga-hamburg.de/en/cas-award

Photos: GIGA/Frederike Aumann (1), Frank Eberhard (2), istock.com/AbdukadiiSavas (1)

FOREIGN MINISTER STEINMEIER SPEAKS AT GIGA EVENT



At the invitation of the GIGA and the Hamburg Senate, Federal Foreign Minister Frank-Walter Steinmeier gave a widely discussed speech in Hamburg town hall on 27 June. The minister used his GIGA Distinguished Speaker Lecture to announce Germany's candidacy for a non-permanent seat in the UN Security Council.

www.giga-hamburg.de/en/steinmeier-at-dsls

GIGA SCHOLARS RECEIVE AWARD FOR ARTICLE ON SYRIA



André Bank and Alexander de Juan, researchers at the GIGA Institute of Middle East Studies, were awarded the Nils Petter Gleditsch Article of the Year Award for their findings on the Syria conflict. The prize recognises outstanding research published in the influential *Journal of Peace Research*. In their January 2015 article, “The Ba'athist Black-out? Selective Goods Provision and Political Violence in the Syrian Civil War,” the two scholars demonstrate that in the early phase of the Syrian civil war there was a connection between the spatial distribution of public services such as electricity and the geographical patterns of political violence.

www.giga-hamburg.de/en/bank-dejuan-jpr-award

Building with bullet holes in Syria

HIGHLIGHTS 2016

AWARD FOR GIGA ALUMNI STRATEGY



For its comprehensive research alumni strategy, the Institute was awarded EUR 100,000 by the Alexander von Humboldt Foundation. The GIGA frequently hosts excellent visiting fellows from around the world. The alumni strategy aims to maintain the relationship beyond the end of the visitor's stays to the benefit of both parties.

www.giga-hamburg.de/en/alumni-strategy

SANDRA DESTRADE BECOMES FIRST GIGA-HSU PROFESSOR

Prof. Dr. Sandra
Destradi



GIGA researcher Sandra Destradi was appointed Professor for Political Sciences at the Helmut Schmidt University (HSU, University of the Federal Armed Forces Hamburg) in December. The W3 professorship has a focus on international relations and regional governance. It was created, and is financed, jointly by the HSU and the GIGA as part of the two institutions' close cooperation. Destradi is an international relations as well as a peace and conflict studies scholar. Her regional expertise lies in South Asia. She has worked on a broad range of topics, from international mediation efforts in the Sri Lankan civil war to India's foreign policy as a regional power.

www.giga-hamburg.de/GIGA-HSU-professorship

Photos: istock.com/everythingpossible, (1), Ulrike Schröder (1), Julia Kneuse (1), Tobias Kleinod (1)

FRESH START FOR THE GIGA FOCUS SERIES



The popular GIGA Focus series was relaunched. Now in a more reader-friendly format, the succinct analyses offer research results along with policy advice (see page 46). The first issue, ““Because They Matter”: Recognise Diversity – Globalise Research,” authored by GIGA President Amrita Narlikar, was introduced at a GIGA Forum in April. www.giga-hamburg.de/giga-focus

DISCUSSING GLOBAL PERSPECTIVES ON DEMOCRACY



Different views of democracy are increasingly competing with the classical Western model thereof around the globe. What are the consequences of this? GIGA scholars addressed this topic in various GIGA Focus issues throughout the year. To take stock of the situation, the GIGA and the Hamburg Senate hosted a panel discussion ““Because They Matter’: Global Perspectives on Democracy” at Hamburg’s State Representation to the Federation in Berlin. Around 60 diplomats, members of parliament, NGO representatives, and journalists attended the event moderated by former Die Zeit publisher and editor-in-chief, Theo Sommer. www.giga-hamburg.de/en/news/democracy-isnt-an-export-commodity

Member of the German Bundestag, Niels Annen, at the panel discussion



2

RESEARCH

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RESEARCH AT THE GIGA

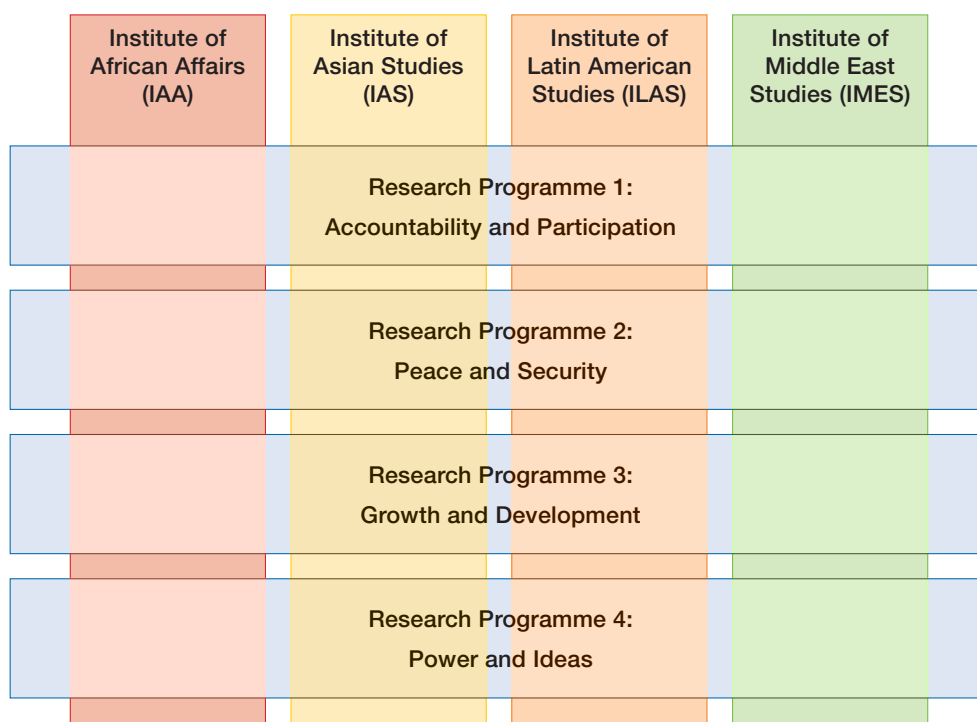


Figure 1: GIGA Research Matrix 2016



Prof. Dr. Amrita Narlikar, President of the GIGA

At the GIGA we are convinced that in an increasingly globalised and interdependent world, scholarship also has to be global. Dealing with the manifold transitions we are witnessing today and recognising new challenges in a timely manner requires context-specific knowledge and an engagement with the regions of the “Global South” on their own terms. The GIGA is at the heart of this agenda. Its **Global Approach to Scholarship** builds on the Institute’s long-standing area expertise on Africa, Asia, Latin America, and the Middle East and its unique analytical advantage in comparative area studies. The approach

consists of three sets of ideas: global in content, global in reach, and global in structure.

Scholarship that is **global in content** in our view has to a) be relevant both in academic and real-world terms, b) investigate topics that are meaningful beyond a particular region, and c) systematically incorporate the historical experiences, philosophical traditions, and empirical realities of “non-Western” world regions. To further sharpen our research profile along these lines, in 2016 we reconfigured the GIGA’s Research Programmes (RP). The Institute’s research – with many projects

Photo: Charlie Gray (1), Frank Eberhard (1), GIGA (1)



also made possible by the successful acquisition of third-party funding – is clustered around the following set of guiding questions: How can participation and accountability in different political regimes be created and maintained? How can peace and security be achieved and conflict be overcome? How can inclusive growth and sustainable development be fostered? How do power and ideas influence norm setting and policy making? (See pages 26–33.)

Scholarship that wants to make a difference also needs to be **global in reach**. For us this means to take on an intellectual leadership role and to reach out to different audiences in Germany, at the European / international level, and in the regions of the “Global South.” We live and breathe the Leibniz motto “*theoria cum praxi*,” research-based policy advice and knowledge transfer to the wider public are therefore essential elements of our mandate. Our research findings are published in top peer-reviewed academic journals and publishing houses. We maintain three well-established open-access publication series. Events in Hamburg and Berlin or at the GIGA Research Platforms and partner

institutions in the regions (see boxes on pages 19–25) complement our outreach activities.

The GIGA seeks to further enhance its international standing by promoting scholarship that is **global in structure**. We have a policy of international recruitment at all levels, promote international and intersectoral mobility, and train junior scholars from around the world in the GIGA Doctoral Programme. We foster an institutional culture that appreciates diversity through an equal opportunity strategy defined in the broadest sense of the term.

Through its Global Approach to Scholarship, the GIGA aims to serve as an intellectual bridge between academia and practice as well as between theories, frameworks, and cases from different parts of the world.



INSTITUTE OF AFRICAN AFFAIRS



Apl. Prof. Dr.
Jann Lay

In Germany and Europe, Africa is receiving attention in public debates in particular as a point of origin for migration. The GIGA Institute of African Affairs (IAA) has repeatedly argued that this narrow perception of the continent is not a useful one – neither for European–African relations in general nor for the designing of the policies that underpin them, be they in the sector of migration, trade, or development cooperation.

In a well-received GIGA Focus on the EU’s migration policies, IAA researchers Sebastian Prediger and Franziska Zanker argued that – despite populist media outlets speaking of an “exodus” from Africa to Europe – most refugees and migrants remain on their continent of birth. In future, however, more migrants are likely to come to Europe as a result of the continent’s expected population growth. In order to deal with the ensuing challenges, as well as to reap the benefits, the EU will need a holistic, coherent, and sustainable migration policy for Africa that: (1) promotes

the global compact for legal migration; (2) supports the major refugee-hosting countries; (3) opens up means of legal migration; and, (4) strengthens African institutions while streamlining the foreign, trade, and development policies that deal with the causes of forced migration.

Economic development and political stability are key dimensions to these root causes. In terms of economic performance, 2016 has told a tale of “two Africas”: Falling commodity prices have led to economic downturns in commodity-reliant countries, including the two biggest economies on the continent – Nigeria and South Africa. However in quite a number of countries, including Ghana, Kenya, Rwanda, and Uganda, economic growth has proven more robust. While this continued growth can lead to sustained improvements in living standards, research at the IAA also hints at the challenges for sub-Saharan economies beyond their dependence on natural resources. These

challenges have been discussed in various IAA publications and events in 2016: One example is that in Africa there is still no "Mittelstand", and instead informal businesses with low productivity tend to prevail.

While 2016 has been a "difficult year" for Western democracies, the news from Africa in terms of political stability and democracy has not all been bad. Research by the IAA's Alexander von Humboldt Fellow Nkwachukwu Orji addressed the peaceful Nigerian general election of 2015, which had fewer disputes and election-related deaths than previously. In his work, Orji argues that the avoidance of harmful electoral disputes in Nigeria was the result of preventive action being taken by the country's electoral commission, civil society groups, and international development partners.

However, the peaceful Nigerian example and the Ghanaian election and transition of power are certainly not representative of the political situation of the continent

as a whole. IAA research acknowledges this diversity of course, and also examines developments in some of the hotspots of political fragility. This includes Burundi, where research at the IAA has followed up on recent political developments.

The results of this research have been discussed with the interested public in a very well-attended GIGA Forum in September. Since President Pierre Nkurunziza announced his candidacy for a third term in early 2015 the country has continued to be on the brink of civil war. The case of Burundi highlights the limits of outside attempts to bring lasting peace: Externally mediated power sharing has only frozen the conflict, not least because profound transformation has been hampered by an absence of transitional justice and reconciliation. However, civilian crisis prevention measures have at least so far contributed to reducing post-war interethnic cleavages – thus preventing worse from happening.

THE LEGACY OF ARMED CONFLICTS

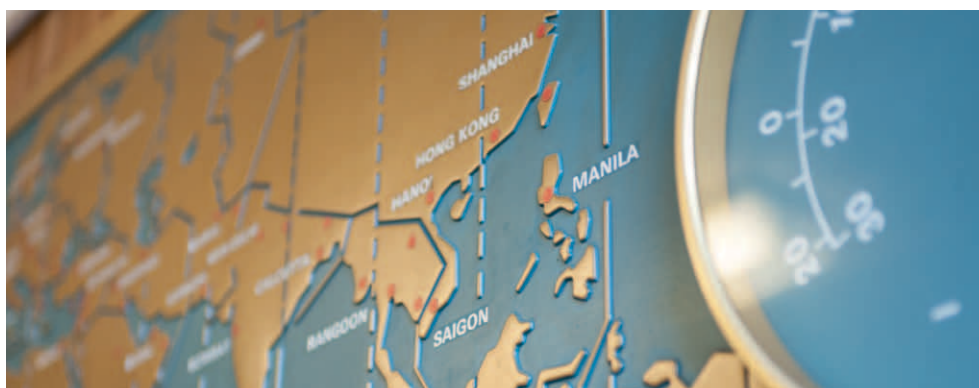


The GIGA Research Platform in South Africa hosted the international workshop "The Legacy of Armed Conflicts: Southern African and Comparative Perspectives," from 28–29 July. The event was organised in cooperation with the University of Pretoria's Centre for the Study of Governance Innovation (GovInn).

Old College House
at the University of
Pretoria

The workshop facilitated discussions and exchanges between regional and comparative experts, and focused on three specific themes: the relationship between peace processes and long-term peacebuilding; the role of former armed actors in post-conflict societies; and the persistence of violence after conflict has ended.

INSTITUTE OF ASIAN STUDIES



Prof. Dr.
Patrick Köllner

Both expected and, even more so, unexpected events in world affairs – such as North Korea's detonation of a thermonuclear bomb, presidential elections in Taiwan, heightened tensions in the South China Sea, the referendum in the United Kingdom resulting in the decision to "Brexit" from the EU, or the election of Donald Trump to the presidency of the United States – left their mark on the work of the GIGA Institute of Asian Studies (IAS), as reflected in a host of events, publications, and media interventions.

New research fellows and doctoral students working on political, social, and economic issues in China, Myanmar, Nepal, and Vietnam joined the institute, while some long-serving staff members – including the former acting director, Günter Schucher – retired. IAS senior research fellow Sandra Destradi was appointed a full professor of International Relations and Regional Governance at the Helmut Schmidt University in Hamburg (see High-

lights section, page 12). A steady stream of visiting fellows arriving from various parts of Asia, the UK, and the US intellectually enriched the institute. Finally, no less than seven doctoral students working on Asia-related issues graduated from the GIGA's doctoral programme – either successfully defending or submitting their dissertations.

Multi-annual research projects, funded among others by the German Research Foundation (DFG) and the Federal Ministries of Education and Research (BMBF) and of Economic Affairs and Energy (BMWi), either continued or were brought to a successful close. The projects addressed issues of a national, cross-national, and transregional nature, covering topics such as West African traders as translators between Chinese and African urban modernities, ideological change and regime legitimacy in China, China's science and technology programmes and strategies, or the impact of civil soci-

ety organisations on state power in autocratic Algeria, Mozambique, and Vietnam. IAS staff members published a dozen articles in peer-reviewed journals, including in excellent outlets such as *Critical Asian Studies*, the *European Journal of International Relations*, or *Foreign Policy Analysis*. Three monographs and edited volumes were published by international publishers Karthala, Palgrave Macmillan, and Routledge. In cooperation with the University of Duisburg-Essen, IAS scholars authored the 2016 edition of the widely available Huawei study on German–Chinese mutual perceptions. Work on a comprehensive, new, and fully searchable open access database on Chinese leadership personnel was effectively completed by late 2016.

The IAS presented selected research findings at its annual roundtable on Asia at the Federal Foreign Office. GIGA outreach events in Hamburg and in Berlin, involving a number of IAS scholars, discussed ex-

pectations for the BRICS summit in India, the implications of the outcome of the US elections for Asia, European reactions to China's new self-confidence, and the dynamics of religious conflicts and contestation in Bangladesh, Myanmar, and India. The institute's expertise was again in demand from national and international media outlets.

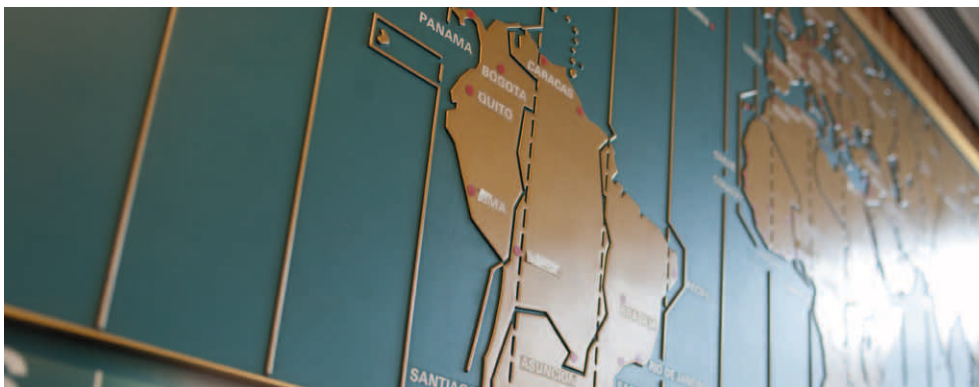
The two Asia-related, peer-reviewed open access journals – the *Journal of Current Chinese Affairs (JCCA)* and the *Journal of Current Southeast Asian Affairs* – are since 2016 indexed by Scopus. After ten years of dedicated service as editor-in-chief of JCCA, Karsten Giese stepped down. During his watch, the journal developed into one of the most cited academic journals on China. Georg Strüver, who joined the institute as a research fellow, became the new editor-in-chief. Talks with the Lau China Institute at King's College, London, resulted in an agreement to co-edit the journal from 2017 onwards.

ASIA RESEARCH PLATFORMS RUN AT FULL THROTTLE



The Research Platforms in Asia organised five major events, with academic workshops at: Tsinghua University, Beijing, on think tanks in Asia; Naresuan University, Thailand, on party factionalism in Southeast Asia; GIGA, Hamburg, on India rising; and, Jawaharlal Nehru University, Delhi, on the causes of the varying performances of Indian states. A conference, attended by numerous academics and policymakers, was convened at the University of Tokyo to discuss the relevance of area studies for the sciences and public policy. All workshops will result in special issues of renowned academic journals or in edited volumes.

INSTITUTE OF LATIN AMERICAN STUDIES



Prof. Dr.
Detlef Nolte

2016 was a year of crisis and change in Latin America. The regional GDP contracted. In many countries public protest against rampant corruption increased and the judiciary became more active. In April, Barack Obama was the first US president in decades to make a visit to Cuba – as a sign for the normalisation of relations between the two countries. German politicians also showed an increasing interest in Cuba. GIGA scholar Bert Hoffmann, one of the leading German experts on Cuba, had high media exposure and wrote a policy paper for the Institut für Auslandsbeziehungen (ifa) on the prospects for German–Cuban relations in culture and education (see page 49).

In August, Brazilian President Dilma Roussef was forced out of office as a result of impeachment. Brazil entered a period of political polarisation, social protests, and economic stagnation. But Brazil also hosted the Olympic Games in Rio de Janeiro. Researchers from the GIGA Institute

of Latin American Studies (ILAS) gave interviews and studied a wide range of topics related to this event and to the political crisis in Brazil, which illustrated some of the structural problems of presidential democracies. A GIGA Focus on the "Many Faces of Latin American Presidentialism" was quoted by major media outlets. The results of the ongoing project (DAAD–PROBRAL) conducted by Mariana Llanos in cooperation with Brazilian partners at the Federal University of Minas Gerais, on the core executive in Latin America, fit well into this debate. One outcome of the project was Magna Inácio and Mariana Llanos' article "The Institutional Presidency in Latin America: A Comparative Analysis" in *Presidential Studies Quarterly*.

In November, the Colombian government and the FARC guerrillas signed a peace agreement after decades of war. Peace there is still fragile. Building stable post-war orders has long been an important research topic at the GIGA, as

reflected in a new DFG-funded research project on "Security Sector Reform and the Stability of Post-War Peace" coordinated by Sabine Kurtenbach and Nadine Ansorg. In regard to Colombia's foreign policy, Daniel Flandes (together with Rafael Castro) published an article on "Institutional Contestation: Colombia in the Pacific Alliance" in the Bulletin of Latin American Research.

Conflicts over the extraction of natural resources and the rights of indigenous people continued in many countries. Almut Schilling-Vacaflor and Riccarda Flemmer published a study on "Unfulfilled Promises of the Consultation Approach: The Limits to Effective Indigenous Participation in Bolivia's and Peru's Extractive Industries" in Third World Quarterly.

Another major research topic was Latin American regionalism. Alongside several journal articles, doctoral dissertations by Anne Hoffman ("Regional Public Policy-Making: The Case of UNASUR") and Jorge

Garzón ("The Problem of Regions and Regionalism in a Multipolar World") addressed this topic too. The DFG-funded project "Polities beyond Borders: The New Dynamics of Emigrant Politics and Policies in Latin America" published its findings in a book, with FLACSO Chile (see page 27). In the DFG-funded "Ethnic Voting in Latin America" project, the comprehensive collection of election and census data for 16 Latin American countries was completed and field research conducted – during which extensive qualitative data for case studies on Bolivia and Brazil was collected.

Regarding the internationalisation of the GIGA (see also box below), Alexander von Humboldt Fellow Cecilia Roa (Colombia) carried out research on water supply and the environment in Latin America; also, Pedro Seabra (Portugal), a specialist on security cooperation between Brazil and Africa, joined the GIGA as a Leibniz-DAAD fellow.

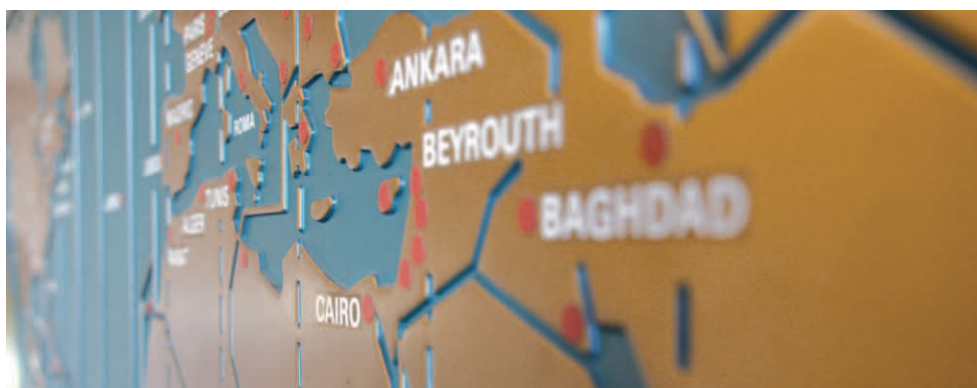
BRAZIL: A RELUCTANT POWER?



Professor Kai Michael Kenkel from the Institute of International Relations (IRI) of the Catholic University in Rio de Janeiro (PUC-Rio), a specialist on international intervention norms, joined the GIGA for one year as a visiting scholar. The GIGA Research Platform Brazil and the IRI organised an international conference in Rio on "The Dragon and the Elephant Meet the Jaguars: China and India in Latin America." Moreover, two workshops were organised on "Reluctant Powers? Brazil's and Germany's Changing Foreign Policy" – one in cooperation with the University of São Paulo (USP) and one at the State University of Rio de Janeiro (UERJ).

"The Dragon and the Elephant Meet the Jaguars" Conference in Rio de Janeiro

INSTITUTE OF MIDDLE EAST STUDIES



Prof. Dr.
Henner Fürtig

The Middle East has been in turmoil since the beginning of the so-called “Arab Spring” in 2011. 2016 was no exception. With all the bloodshed in Aleppo, Mosul, Yemen, and elsewhere in the region besides, anger, hatred, and sectarian divides have only grown deeper. Even if the Battle of Aleppo may have signalled a turning point in the war in Syria, it was not the end of it. The same applies to Islamic radicalism. Even if the Islamic State (IS) appeared to be on its last legs by the end of 2016 in Mosul, Islamist terrorism as such will not evaporate.

In more general terms, the recently released UNDP Arab Human Development Report summarised the sorry state of the Arab world, where 5 per cent of the global population experiences on average half the world’s terrorist attacks, battlefield deaths, internally displaced people, and refugees. The region also has the highest rate of youth unemployment, some 30 per cent, while its intensifying social inequal-

ity is already well above the developing world’s average rate.

Signs of hope are meagre in comparison: Lebanon finally got a president and oil prices have risen in the wake of the last OPEC agreement. That the Middle East has not dissolved into a complete nightmare is largely due to the fact that its five most powerful states have not been knocked off their perches. Only two of them, Egypt and Saudi Arabia, are Arab countries, a ratio reflective of the overall balance of power between Arabs and non-Arabs in the region. Although Iran appeared the region’s big winner in 2016, that view is deceptive and not an indicator for the future. The signing of the nuclear agreement combined with Donald Trump’s election has intensified the country’s chronic internal power struggles and economic insecurity.

The GIGA Institute of Middle East Studies (IMES) addressed these problems in a number of – mostly third-party

funded – research projects. Since authoritarianism is – against all hopes after the Arab Spring – prevailing in the region, IMES members in the International Diffusion and Cooperation of Authoritarian Regimes (IDCAR) network intensified their research and international cooperation. To fight the menace of poverty, IMES colleagues contributed to the project “Poverty and Inequality Dynamics and the Role of Social Policies” funded by the EU (FP7). IMES’ long-standing engagement with political Islam was continued and intensified by studies on Salafism and religion policy in North Africa. A project on the effects of the Syrian War for Jordan, focussing on migration issues, unfolded in 2016. The research on regional power constellations was continued.

All research results were published as books and in renowned academic journals, such as *The International Journal of Middle East Studies*, *Middle East Law and Governance*, *Global Policy*, *Studies in Con-*

flict and Terrorism, *The British Journal of Middle East Studies*, and *Middle East Policy*. IMES researchers participated in the most important national and international conferences of their disciplines, hosted guest scholars, and consolidated the Research Platforms in Beirut and Tunis (see box below).

The IMES continued its broad activities in the field of knowledge transfer and political counselling. Its staff members provided advice to ministries such as the Federal Foreign Office (FFO) – including at the annual ambassadors’ conference – and the Federal Ministry of Economic Cooperation and Development (BMZ), as well as to parliamentarians and other practitioners. They contributed to the GIGA Focus series, participated in the GIGA Forum in Hamburg and in GIGA Talks in Berlin, and conducted two events of the popular GIGA–NDR series “Crossing Borders” (see page 47). Dozens of interviews were given to leading German and international media outlets too.

BEIRUT AND TUNIS: PLATFORMS FOR JOINT RESEARCH



The Research Platforms, established with the Lebanese American University (LAU) in Beirut and the Observatoire Tunisien de la Transition Démocratique (OTTD) in Tunis, intensified their work. In Beirut, a year of cooperative research culminated in the international conference “Electoral Law Reforms in Post-Conflict Societies: The Arab World and Beyond,” whereas in Tunis joint field research by the IMES and the OTTD focussed on relations between secular and non-violent Islamist elites in Tunisia. In the course of these research activities, workshops were organised both in Hamburg and Tunis.

The Lebanese American University in Beirut

ACCOUNTABILITY AND PARTICIPATION



Dr. Mariana
Llanos

In Research Programme 1, Accountability and Participation (RP1), the established line of research on authoritarian politics flourished in the form of publications and international events. The International Diffusion and Cooperation of Authoritarian Regimes (IDCAR) network, funded by the Leibniz Association, convened its third network conference at Oxford University to discuss “International Resistance to Democratization: Is it Really All About Authoritarian Cooperation?” Further highlights of IDCAR’s activities were a section on “International Dimensions of Authoritarian Rule” at the ECPR General Conference in Prague, to which many network partners contributed, as well as a workshop on “Transnational Diffusion and Cooperation in the Middle East” in Hamburg, which was jointly organised with the Project on Middle East Political Science (POMEPS).

Within the framework of the research network Governance in China, the project “Ideological Change and Regime Legiti-

macy in China” came to an end with the finalisation of Maria Bondes’s doctoral dissertation entitled “A Burning Issue: Waste Incineration and the Diffusion of Environmental Contention in China.”

Also the DFG-funded project “Civil Society Organizations as Supporters of Authoritarian Rule? A Cross-Regional Comparison (Vietnam, Algeria, Mozambique)” came to a successful close with a steady stream of workshops and outreach events as well as publications, including articles accepted by or already published in *Democratization* and the *Journal of Current Southeast Asian Affairs*. A follow-up policy-oriented project on the shrinking civic space faced by non-governmental organisations in autocracies – as well as in some democracies – around the globe is currently under preparation.

In the research field of political participation, we focused on that in environmental governance. The ongoing interdisciplinary project “Extracting justice? Exploring

the Role of FPIC and Consultation, and Compensation Related to Socio-Environmental Conflicts in Latin America,” funded by the Research Council of Norway, investigated diverse forms of participation by local communities affected by resource extraction projects. The results of this project will be published in a special issue of *Third World Quarterly* in Spring 2017.

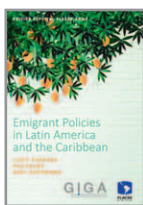
In addition, a proposal, written by members of the “Extracting Justice?” project, for the organisation of an international conference about “Indigenous Environmental Justice and Climate Change in Latin America” was accepted by the Riksbankens Jubileumsfond (Sweden).

Research on migration advanced too. The ongoing DFG-funded project “Polities beyond Borders” studied the policies that migrant-sending countries adopt to reach out to their emigrants living abroad across 12 different fields of policy, from the electoral to the cultural to the economic, con-

ceptualising and systematising for the first time policies that migrant-sending countries have developed for institutional and political participation beyond their borders – but also for emigrants’ symbolic, administrative, and social incorporation into the polity of origin. The “Polities beyond Borders” project held two events in late November: an academic workshop in Hamburg attended by nine renowned scholars from Europe and Latin America on “Advances in the Comparative Study of Emigrant Policies,” and a GIGA Talk and book launch in the GIGA Berlin Office (see box below).

Finally, the ANTICORRP and the NOPOOR projects (both EU FP7) are in the final stages of delivering research reports and consolidating datasets: the first on the nature and impact of EU development assistance to selected neighbourhood countries and two African ones, the second on poverty reduction strategies across political regimes.

POLITIES BEYOND BORDERS



The monograph "Emigrant Policies in Latin America and the Caribbean" was published in October with FLACSO Chile, and is available online on the FLACSO and GIGA websites. It organises 102 emigrant policies categorically and per country across 22 comprehensive country chapters.

The publication was prepared within the framework of the DFG-funded project “Polities beyond Borders: The New Dynamics of Emigrant Politics and Policies in Latin America and the Caribbean,” which studies the policies that migrant-sending countries adopt to reach out to their emigrants living abroad across 12 different fields of policy. www.giga-hamburg.de/book-emigrant-policies

PEACE AND SECURITY



Dr. Christian
von Soest

The Syrian war, high numbers of refugees fleeing to neighbouring and European countries, and the challenge of transnational terrorism were dominant issues in the public discourse in 2016. Researchers from the Peace and Security Research Programme (RP2) investigated the dynamics of human mobility and published on the migration away from violence. They focussed on why people leave post-conflict countries, ones where immediate hostilities have ceased but where day-to-day violence and social exclusion often remain acute problems. In these contexts, the opportunities for a proper education, employment, and leading a decent life are slim.

Members of the research programme found three specific features of post war situations to explain the varying levels of outward migration in these contexts: the quality of peace, the quality of political institutions, and the quality of economic livelihoods.

In addition, members of the research programme provided new insights into the effects of natural resources on conflicts, a well-established sub-field within peace and conflict research. This pertained to how the production of natural resources fuels the recurrence of violent conflict and how absolute oil abundance and dependence increase the propensity of governments to instigate military confrontation with other countries. In contrast, developing a framework for the management of lootable resources was found to tame conflict and lead to post-war stabilisation. Shifting the perspective from macro analysis to the local level, one RP2 scholar investigated companies' and public authorities' various strategies for quieting dissent in areas where mineral resources are extracted in Bolivia.

Researchers continued to analyse different institutional reforms' effects in post-conflict countries – one of the research programme's main issue areas. Three

scholars published a new dataset on police reforms that are enshrined in peace agreements, in the prestigious *Journal of Peace Research*. Another focus was on how security sector reform, in conjunction with ethnic representation, affects perceptions of safety. This focus on reforming the security sector to overcome conflict was complemented by an analysis of power-sharing arrangements. These and other research findings were published in leading outlets such as *Civil Wars*, *Journal of Conflict Resolution*, *Conflict Management and Peace Science*, *Journal of Peasant Studies*, and *Journal of Conflict Research*. Three scholars successfully defended their doctoral dissertations, dealing with resource conflicts, sanctions, as well as with interim governments and the stability of peace.

Members of the research programme were also very active in sharing their insights with policymakers, practitioners, and the general public through various

outreach events, regular media appearances, and providing policy advice to a number of federal ministries. The Research Platform conference in South Africa, “The Legacy of Armed Conflicts: Southern African and Comparative Perspectives,” brought together academics from Europe, the US, and sub-Saharan Africa. A recurring theme at the conference was the importance of legitimacy for peace-building and the necessity to consider the continuity between armed conflict and other forms of violent and non-violent social action. RP2 members were also key organisers of and contributors to the GIGA Global Transitions Conference in December, on “External Intervention in the Age of Global Transitions.” The conference brought together leading scholars and practitioners, and included panels on the concept(s) of intervention, interventions to foster peace and security, and interventions to build states (see Highlights section, page 10).

BOOK: INSTITUTIONAL REFORMS AND PEACE-BUILDING



How can we create institutions that facilitate sustainable peace within societies that have experienced violent conflict? This remains one of the most pressing questions for peace researchers and peace-building practitioners alike. A new book, edited by RP2 scholars Sabine Kurtenbach and Nadine Ansorg, argues that for institutional reform to be successful, we need to focus on the mutual relationship between societal cleavages, pre-war institutional settings, and institutional reform in the aftermath of war.

www.giga-hamburg.de/book-institutional-reforms-peacebuilding

GROWTH AND DEVELOPMENT



Apl. Prof. Dr.
Jann Lay

Research Programme 3, Growth and Development (RP3), has been actively engaged in academic, public, and policy discussion on the issue of so-called "land grabs" ever since the topic first emerged a couple of years ago. RP3 continues to manage the Land Matrix Global Observatory (www.landmatrix.org), which provides the empirical basis for our own analyses and is also widely used by academics, policy-makers, and civil society in Germany and beyond. Around the world, 26.7 million hectares of agricultural land have been transferred into the hands of foreign investors since the year 2000. This means that these new investors have acquired approximately 2 per cent of the entire arable land worldwide, or roughly the equivalent to the total area covered by the United Kingdom and Slovenia put together. This finding comes from the Land Matrix's newest report "Land Matrix Analytical Report II: International Land Deals for Agriculture," co-authored by GIGA team member Kerstin

Nolte and quoted both by German and international media outlets (for example, Die Zeit, SWR, swissinfo). The report provides detailed information on who is buying up farmland in which regions of the world and how this land is being used.

It also highlights the economic, social, and political impacts of land investments. One emerging trend from the data is the finding that land deals are increasingly being implemented, while the targeted land has often been used before – mainly for agricultural activities, pastoralism, and forestry. As land acquisitions only rarely take place for idle land, they can potentially have serious implications for people living on the land or using it. This increased land use competition requires the attention of policymakers and researchers in order to find policy solutions. The team at the GIGA dedicated to this research field has been very active in disseminating findings through various contributions at international conferences

such as the Annual Conference on Land and Poverty at the World Bank in Washington or the Open Science meeting of the Global Land Programme, but also at events at the German parliament (Bundestag). Through the Land Matrix Initiative's decentralisation approach, our findings also reach out to stakeholders in the target countries of such land acquisitions. In Zambia, for example, a policy brief on large-scale projects by Kerstin Nolte has received considerable attention in domestic policy debates.

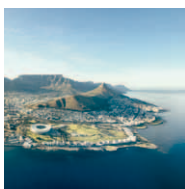
The interaction with researchers and other stakeholders in our study regions extends beyond the above line of research. RP3's findings from a project coming to a close on how to reconcile economic development with efforts to protect the climate have been discussed at dissemination events in Bangkok, Cape Town, and Mexico City.

These events took place against the backdrop of major movement on the in-

ternational policy stage, with the adoption of the first-ever universal and legally binding global climate deal at the United Nations Climate Change Conference in Paris 2015. Current global greenhouse gas emissions are predominantly by low- and mostly middle-income countries: they account for two-thirds thereof, though this is rising.

Our research shows that, despite the Paris Agreement, there is a lack of concrete action by a number of developing countries. Domestic climate policy is either inexistent in certain states or the policies implemented are insufficient, as we show with evidence from Indonesia, Mexico, South Africa, and Thailand. We argue that the huge disparity between the objectives of the Paris Agreement and what is happening on the ground reflects the significant barriers to de-carbonising the world's energy and transport systems. The short-term economic, political, and social costs of reforms are key barriers.

THE MIDDLE CLASS AND INEQUALITY IN AFRICA



Coast of Cape Town

An international workshop on “Inequality and Middle Class Development in Africa” was held in Cape Town, South Africa, in May. The event was organised by the GIGA Research Platform Africa and the Institute for Justice and Reconciliation (IJR, Cape Town), in cooperation with the Southern Africa Labour and Development Research Unit (SALDRU) and the Heinrich Böll Foundation. It offered a variety of presentation and discussion formats that invited scholars and practitioners to reflect on the potential of an emerging middle class to foster inclusive growth and play a transformative socio-political role in Africa. www.giga-hamburg.de/en/middle-class-inequality

POWER AND IDEAS



Prof. Dr.
Patrick Köllner

Research Programme 4 (RP4) underwent a process of re-conceptualisation, restructuring, and realignment of its focus well into 2016. The core aim was to broaden RP4 beyond power and status-centred International Relations perspectives, to make it more interdisciplinary and open to further avenues for multi-level analysis. Retained was the long-standing focus on the roles, contributions, and interactions of regional/emerging powers at the regional and global level and on the actors, institutions, ideas, and interests shaping their policies in different fields. Furthermore, RP4 continues to have a strong interest in processes of region-building and, more generally, the social construction of different world regions in the "Global South" and beyond.

On the other hand, the newly conceptualised RP4, now entitled Power and Ideas, will engage more vigorously with the creation and diffusion of ideas underlying institution-building, policymaking, and outcomes – and even more generally with

the carriers and content of policy-oriented ideas. Against this backdrop, RP4 is set to address in the future also questions concerning knowledge regimes and the role of expertise in politics. This will also entail a significant expansion beyond the hitherto dominant statist focus. The two newly configured research teams within RP4 are called Power and Global Order and Ideas and Agency respectively. Detailed information on the research carried out by these teams, and on the staff involved with them, can be found on the GIGA's website.

From 2017, RP4 will be headed by Lead Research Fellow Sandra Destradi, who was appointed Professor of International Relations and Regional Governance at Helmut Schmidt University, Hamburg (see Highlights section, page 12). She has taken over from Patrick Köllner, who oversaw the research programme's transformation between October 2015 and December 2016.

The Volkswagen Foundation-funded, Schumpeter Fellowship on “Contested Leadership in International Relations: Power Politics in South America, South Asia, and Sub-Saharan Africa” entered a new phase in its work, while several other third-party funded projects neared completion. These included the multi-partner, Leibniz Association-funded, “Contested World Orders” project, the Volkswagen Foundation-funded project on “Climate Change Mitigation and Poverty Reduction (CliMiP),” and the DAAD-funded project “Colombia in the Face of Brazilian Power Projection.” Continuing projects include the Daimler and Benz Foundation-funded project “Diffusing the EU Model? The European Union’s Influence on Global Regionalism” and the Leibniz Research Alliance project “Control of Infections: Perception of Risks and the Political Agenda for Providing a Public Good.”

Members of RP4 presented their work at various workshops and conferences,

with a particularly strong showing at the International Studies Association convention in Atlanta. They were also heavily involved in the organisation of several international workshops, for example on think tanks in Asia (at Tsinghua University, Beijing), on India rising (at the GIGA in Hamburg), and on Latin American relations with China and India (at the Catholic University of Rio de Janeiro, PUC-Rio). RP4 also organised a GIGA outreach event on the 2016 BRICS summit in India. The event involved Visiting Fellow Kai Michael Kenkel from PUC-Rio.

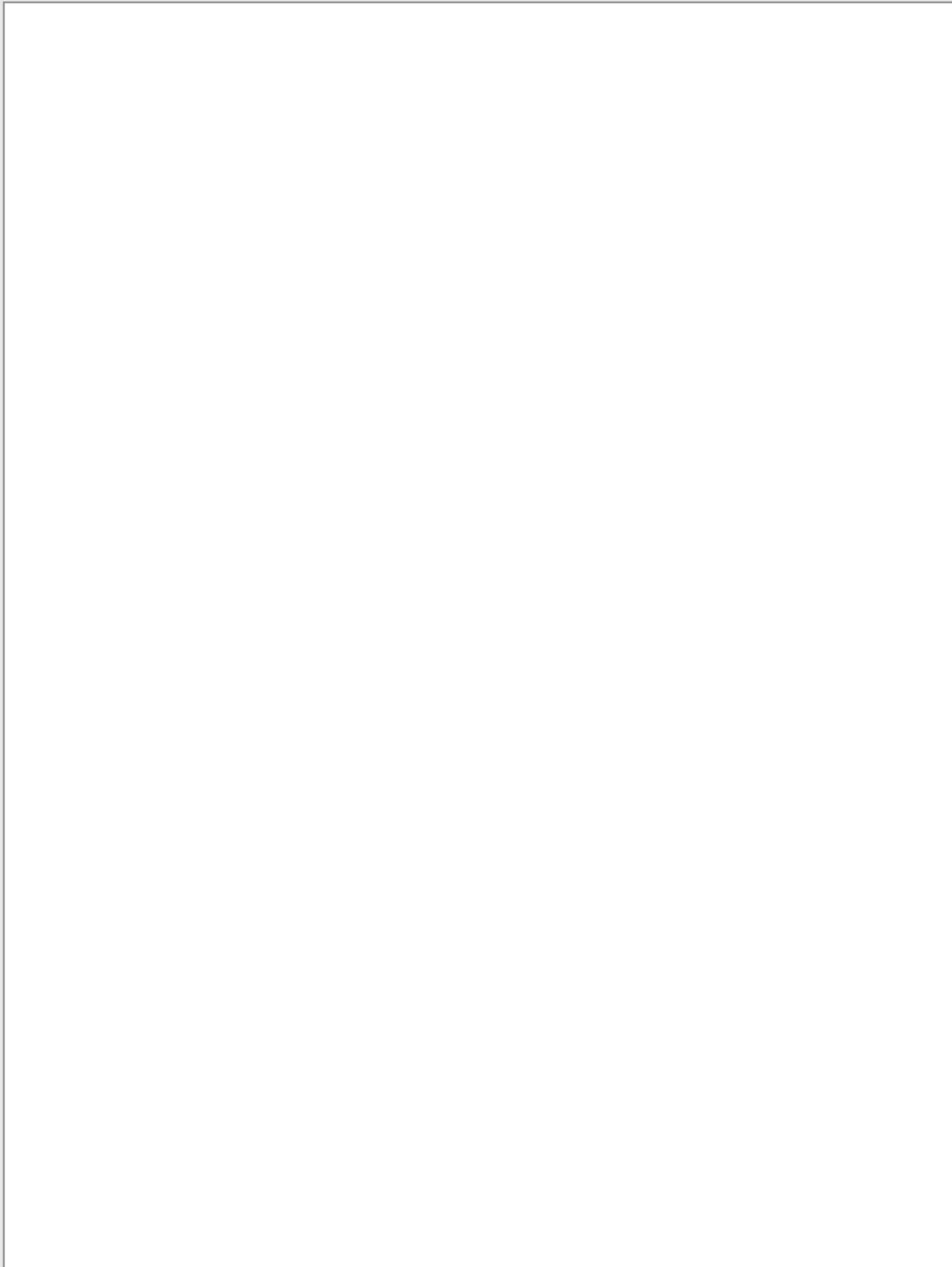
Several doctoral students involved in the research programme submitted or defended their dissertations. Particularly notable publications from RP4 researchers included four articles in the top-level journals *European Journal of International Relations* (including one on reluctance in world politics, see box below), *International Theory*, and the *Journal of European Public Policy*.

RELUCTANCE IN WORLD POLITICS



Emerging powers from the “Global South” are indispensable partners when it comes to addressing global and regional challenges, from crisis management to climate change mitigation. “Reluctance” is a useful concept to make sense of the policies of some

of these countries, which do not conform straightforwardly to the expectations of those who would want them to provide order and governance but which do not explicitly challenge the existing world order either. See Sandra Destradi’s article “Reluctance in International Politics: A Conceptualization” in the *European Journal of International Relations*. www.giga-hamburg.de/en/reluctance-in-international-politics



3

INTERNATIONALISATION,
YOUNG TALENTS
& EQUAL OPPORTUNITY

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INTERNATIONALISATION



GIGA's Global Approach to Scholarship, as laid out by the President in 2016 (see pages 16–17), explains what makes our work so unique and a necessity of our times. Internationalisation is an important part of this approach. With excellence as its compass, the GIGA is committed to developing a better understanding of an increasingly complex world. We collaborate with leading institutions and researchers worldwide, and reach out to a global audience. We conduct context-sensitive research and apply a pluralistic view to scholarship and policy. Otherwise often excluded philosophical and historical perspectives are taken into account “Because They Matter”, delivering into the mainstream new insights of academic and real-world relevance. The results are published in high-ranking journals and books, and provide the sound basis of GIGA's policy advice.

To further enrich German academic and public debate on global developments we

bring prominent thinkers to our events in Hamburg and Berlin. One flagship is the GIGA Distinguished Speaker Lecture Series that invites influential policy makers and academics of world renown. Federal Foreign Minister Frank-Walter Steinmeier launched the practitioners' section in June 2016 and gave a programmatic speech on German foreign policy to an audience of over 400 guests. He was introduced by First Mayor Olaf Scholz and the GIGA President. Prof. Etel Solingen (University of California) spoke on nuclear proliferation, on the same day that North Korea conducted its fifth nuclear test. The GIGA Global Transitions Conference was dedicated to the controversial issue of international intervention. As part of our global network, the Institute's Visiting Scholars Programme received a prestigious Humboldt grant in 2016. The Global Approach allows us to make the most of these activities and further advance our research.

Federal Foreign Minister Frank-Walter Steinmeier (left) delivering his GIGA Distinguished Speaker Lecture and participants of the GIGA Global Transitions Conference (right)

DOCTORAL PROGRAMME



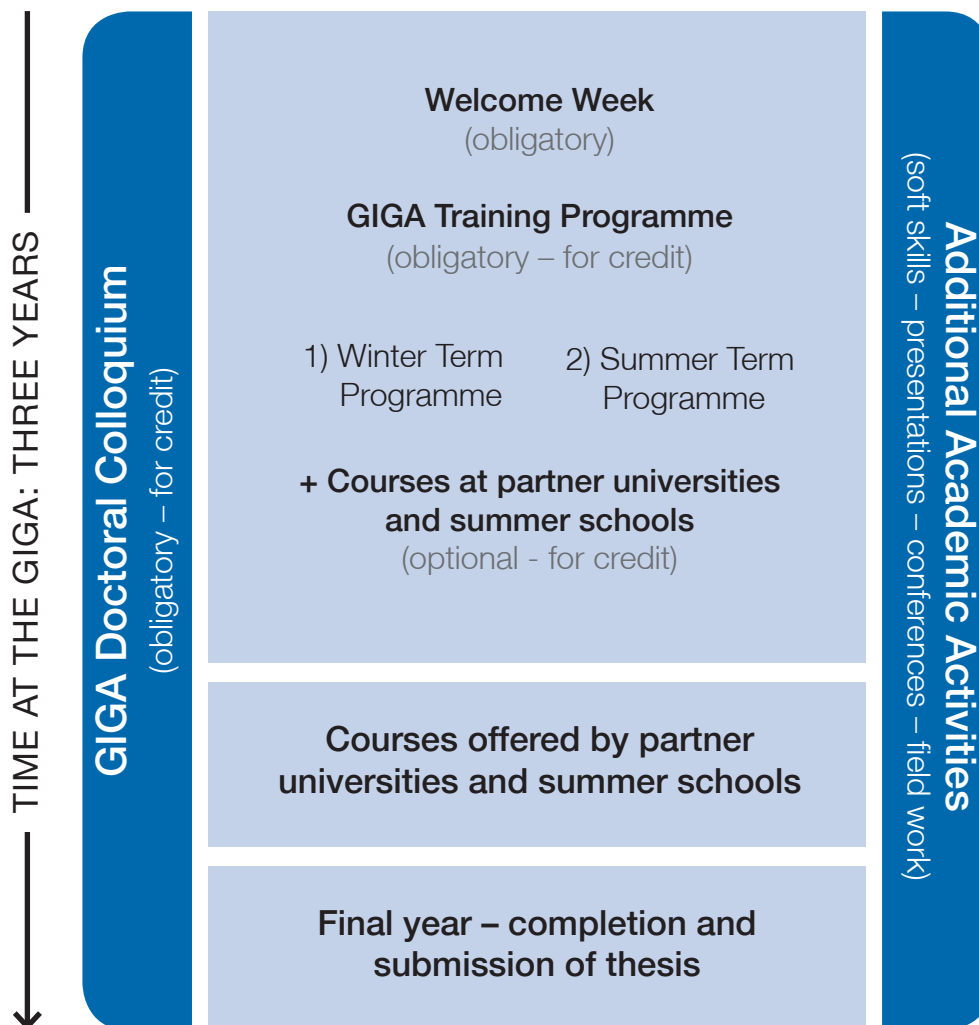
Dr. Maren
Wagner

The GIGA Doctoral Programme (DP) integrates young researchers into the Institute's research structure and offers excellent supervision within an international research environment. Seven new fellows (full-time doctoral students) and two new associates (doctoral students working in third-party funded research projects) were admitted to the DP, resulting in a total of 22 fellows and 24 associates by the end of the year. Ten doctoral students successfully completed their dissertations, three with summa and six with magna cum laude. Our graduates received job offers from renowned research institutions (such as King's College London), an outcome that confirms the academic quality and professional relevance of the DP.

A central pillar of the three-year structured programme is its high-class curriculum, consisting of methodological and theoretical seminars and soft skills training. The curriculum was slightly revised in 2016: The winter term now focuses on in-

roducing research designs and methods as well as on how to conduct research in the fields of global, area, and comparative area studies (CAS). The summer term now serves to deepen students' knowledge of these components by providing more in-depth courses on a demand-driven basis. In 2016, the DP welcomed well-known experts from around the world, such as Lea Sgier and Vera Troeger, with them teaching courses on qualitative and quantitative methods. Moreover, it introduced the so-called CAS and Global Studies Series taught by in-house specialists, thus making use of the unique intra-GIGA expertise.

As full and equal members of their respective regional institutes and research programmes, the doctoral students actively participate in the GIGA's institutional and research life, closely collaborate with experienced researchers, and significantly contribute to the Institute's academic output. This unique research environment attracted again a large number of applica-



tions from prospective visiting doctoral researchers in 2016. The DP welcomed six of them for a period of between three to twelve months, coming from Universities such as Oxford, Lisbon, and Oslo.

As a partner in the Marie Curie initial training network PRIMO, the DP has further fostered its internationalisation and its cooperation with the corporate sector too. In addition, it is a partner of the integrated research and (Marie Skłodowska-Curie Action) fellowship programme GEM-

STONES, involving eight degree-awarding partner universities, six non-academic internship destinations, and a publishing house.

The DP's academic staff changed in January 2016, with Maren Wagner taking over the position of coordinator. As of October, Maren Wagner also became acting academic director of the DP, substituting for Miriam Prys-Hansen during her parental leave.

www.giga-hamburg.de/dp

EQUAL OPPORTUNITY



The GIGA embraces a comprehensive concept of equal opportunities that includes all its members and goes beyond gender equality. Being an internationally competitive and attractive employer dedicated to research excellence, the Institute is committed to diversity and transcultural awareness (see also box below). We understand family friendliness not as a concept fixed to traditional roles, but as a project characterised by ever-changing needs.

The Institute's third equal opportunity plan (2016–2019) identifies a four-year strategy to realise this ideal, describing concrete goals – and specific measures to achieve them. In addition to the overall goals of promoting equal opportunities and further reconciling job and family obligations at the GIGA, it also formulates new ideas and objectives – such as taking into account the issue of long-term family home care. The Institute strives to make its employees aware of their options and will consider related suggestions and

needs regarding this sensitive matter. Furthermore, for the next equal opportunity commissioner's election in 2020 we will seek to implement voting rights for men.

In 2016, the GIGA continued to systematically increase the share of women among researchers and in leadership positions on the basis of successful recruiting and the promotion of existing personnel. The Institute offers a supportive research infrastructure, an inspiring research environment, and an extensive global research network. This unique working environment enables interesting occupational and exchange possibilities, and provides the basis for measures to reconcile job and family as well as group and individual training opportunities.

The equal opportunity fund is one concrete tool to support the financing of measures that are conducive to the career development of women and to reconciling the job and family obligations of women and men – both for academic and non-

academic staff members alike. According to the GIGA's personnel concept, annual staff appraisal talks help identify individual strengths and concrete planning for development. Career development remains particularly important to the GIGA, especially with regards to the limited tenure options in the research community. The Institute enables its academic employees to acquire transferable skills and thus be competitive in both academic and non-academic job markets.

With its successful international Doctoral Programme, the GIGA continues to integrate and train young researchers at the beginning of their academic careers. In 2016, ten doctoral students successfully completed their dissertations and received job offers from renowned research institutions. Furthermore, the Institute regularly offers postdoctoral researchers a six-year perspective to further advance

their academic record. With the tenure announcement in February, the GIGA updated its related procedures and criteria for academic staff.

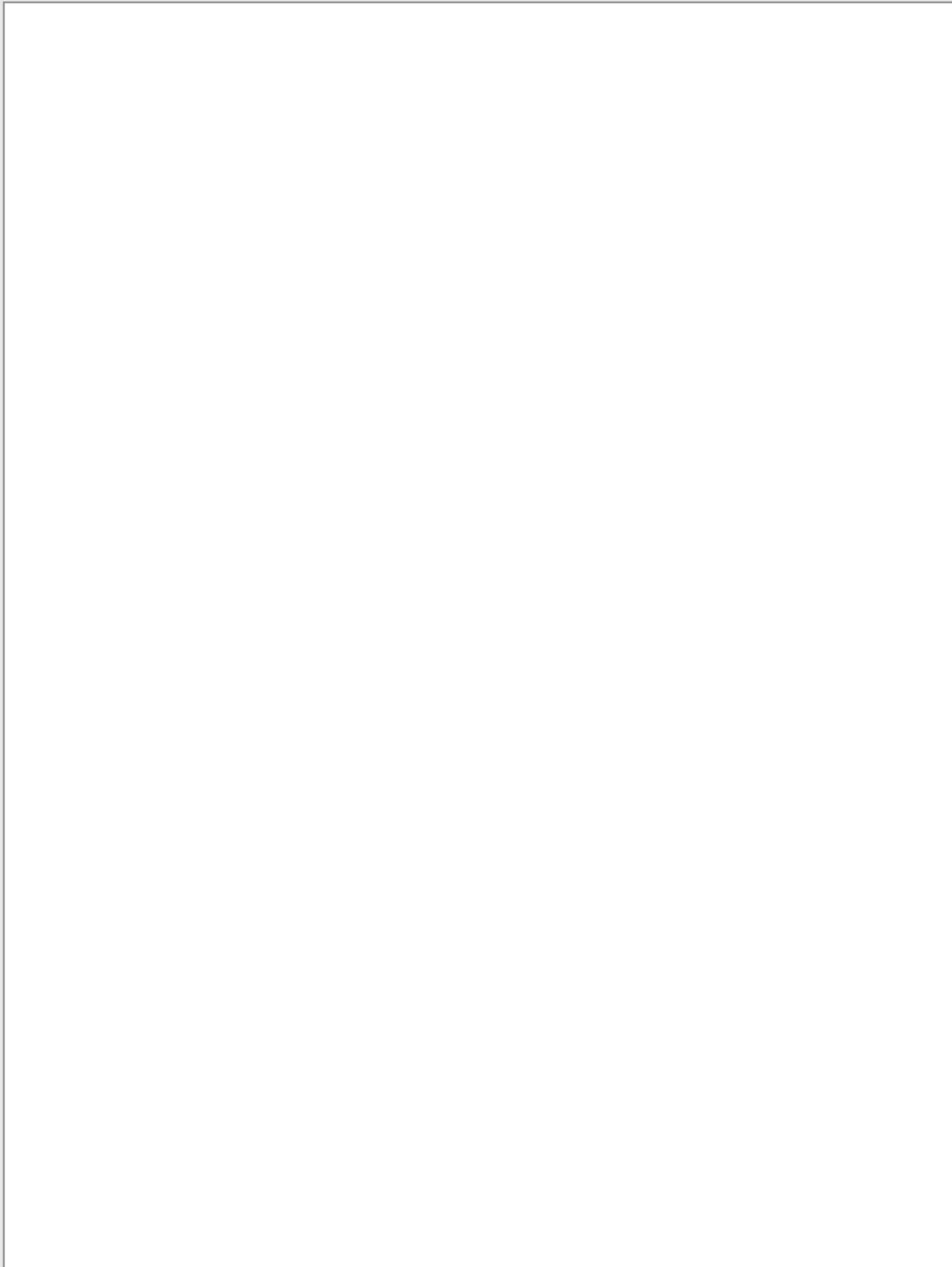
Another concrete measure was the GIGA's own mentoring programme, in cooperation with the Female Experts Network based at the University of Hamburg. This will be further developed and adapted based on participants' feedback. In addition, the Institute continued to support female researchers in participating in the Leibniz Association's and the Robert Bosch Foundation's mentoring programmes.

As the terms of Julia Kramer as equal opportunity commissioner and Janina Pawelz as her deputy came to an end, elections for both offices took place in early October. Maren Wagner was chosen as the new equal opportunity commissioner and Kerstin Nolte as the new deputy equal opportunity commissioner.

LIVING DIVERSITY



Diversity is a central part of the GIGA's self-conception as an independent research institute committed to internationalisation, and with a global approach to research. The Institute acknowledges and fosters the various potentials of its employees and seeks to create an appreciative and respectful working environment, without prejudice. Diversity management is understood as a holistic approach that considers different origins, religions, age groups, and genders, among other factors, as characterising its employees' identities. It is these diverse perspectives, different skills, and multiple talents that shape the GIGA, which is recognised, utilised, and cherished.



4

TRANSFER
OF KNOWLEDGE
& SERVICE

*

TRANSFER OF KNOWLEDGE



Photo left: Prof. Dr. Etel Solingen and Prof. Dr. Henner Fürtig at the Distinguished Speaker Lecture Series

Photo right: President Joachim Gauck and Daniela Schadt

The Federal Foreign Office (FFO) continued to be the most important beneficiary of the GIGA's policy advice activities. For instance, the GIGA conducted many individual and collective consultations, while the GIGA president Amrita Narlikar and IMES researcher André Bank delivered keynote addresses to the FFO's annual Ambassadors' Conference. The GIGA also continued to assign individual researchers to the FFO, which – in turn – delegated a senior diplomat to the GIGA. In June Frank-Walter Steinmeier, the German foreign minister, gave a lecture at our Distinguished Speaker Lecture Series, in which he endorsed the GIGA's research strategy and knowledge transfer activities (see Highlights section, page 11). The Chancellery and the Federal Ministry of Economic Cooperation and Development (BMZ) were also notable recipients of the GIGA's dissemination activities, with the latter commissioning politico-economic short analyses (PÖK) and the Crisis Indicator Catalogue (KIK).

Detlef Nolte, the ILAS director, was invited by President Joachim Gauck to join his delegation for the state visits to Chile and Uruguay. During the trip Professor Nolte briefed the president and his staff on Chile's past human rights violations and current reconciliation policies, and Uruguay's social and welfare policies. Meanwhile, President Narlikar discussed the prospects for achieving a more effective and more inclusive world order with Professor Rainer Frost (Goethe University Frankfurt) at the high-level "Leibniz Debattiert" event in Berlin, which was organised by the Leibniz Association.

The Leibniz Association's "Leibniz im Bundestag" initiative once again proved successful in 2016, with numerous parliamentarians seeking advice from GIGA researchers. The GIGA Berlin Office remained the focal point for all GIGA activities in the German capital and the venue for all GIGA Talk events; GIGA Forum events continued to be held in Hamburg. The GIGA Focus series was revamped in or-

der to better reach out to political decision makers, the media, and the general public. The very popular GIGA–NDR event series “Crossing Borders – Foreign Correspondents Connecting with Scholars,” which offers a broad audience the chance to see

renowned scholars and prominent correspondents interact with each other, also continued. GIGA scholars gave numerous interviews to the media, with events in the Middle East – as in previous years – generating an exceptionally high demand.

GIGA FORUM



GIGA Forum "Because they Matter" with Dr. Thomas Bagger, Prof. Laurence Whitehead and Dr. Christian von Soest (from left to right)

The GIGA Forum is a well-established lecture and panel discussion format that enables scholars to share their research findings and knowledge live with the general public and journalists in Hamburg. The GIGA held seven of these events, addressing globally relevant questions. Taking off in April, the first Forum highlighted GIGA's global approach and introduced the new GIGA Focus series (see Highlights section, page 13). At the Forum in June, which was attended by highly renowned international guests, the increasingly violent constellations in the Middle East post-Arab Spring were discussed. In July, a few weeks before the Olympic games commenced in Rio de Janeiro, the GIGA's country specialists debated the meaning and conse-

quences of this event for Brazil. In November, shortly after the new US president was elected, a group of experts from the GIGA and the German Council on Foreign Relations (DGAP) discussed the foreign policy legacies of President Obama and emphatically highlighted the future of US regional policies under Donald Trump. In the final Forum of 2016, the GIGA's China experts debated the country's new self-confidence as a leading global power and a potential challenger to the West. Regarding organisational matters, Margot Schüller handed over the responsibility for the GIGA Forum to Thomas Richter after having served very successfully as academic coordinator thereof for many years. www.giga-hamburg.de/en/giga-forum

GIGA FOCUS



Dr. Sabine Kurtenbach

The GIGA Focus series (GIGA Focus Global and region-specific issues on Africa, Asia, the Middle East, and Latin America) is the main written format for GIGA's knowledge transfer. In 2016, the Focus series underwent substantial reform aimed at an increase in the quality and accessibility of the GIGA's research. In order to better translate research results for decision makers and the broader public, each issue of the GIGA Focus provides a clear argument and speaks to important and ongoing public debates. A second goal of the reform was to increase synergies between the different knowledge transfer formats at the GIGA. The GIGA Focus got a new layout and now has a stand-alone first page for swift readers. The first page is always published both in English and German.

The launch of the new Focus started with a public event presenting the first GIGA Focus Global, on “globalising social sciences” through the systematic inclusion and recognition of different cultural and historic perspectives in the regions studied. The added value of such an approach was then demonstrated by a se-

ries on democracy across all four regions. Here specific emphasis was on presidentialism in Latin America, the self-declaration of China as the largest democracy in the world, the misperceptions of the Arab Spring as a democracy movement, and the role of external interventions for democracy in sub-Saharan Africa. A second series was published on flight and migration: the ongoing outward migration from post-war societies was highlighted, as well as policies towards emigrants in Latin America, the reasons for fleeing from Eritrea, the way Jordan copes with Syrian refugees, and the motivations of Indian students to study in Germany. Many issues of the GIGA Focus were accompanied by a GIGA Talk in Berlin or a GIGA Forum in Hamburg.

High levels of public interest become evident in the number of downloads not only during the weeks following publication but also subsequently. Other pathways of distribution are academic networks on social media, and newspaper reporting that cites or makes reference to the texts.

www.giga-hamburg.de/giga-focus

GIGA IN THE MEDIA



The GIGA expanded its presence within leading newspapers, television and radio programmes, online media, and magazines both in Germany and abroad. Our scholars initiated and contributed to public debates on a wide spectrum of topics, including Brexit and its repercussions in the "Global South", EU–Africa relations, the cri-

ses in Brazil and Venezuela, the root causes of migration, trade with China, the civil war in Syria, and the G20, among many others. GIGA experts published opinion pieces in the *Frankfurter Allgemeine Zeitung*, *Sueddeutsche Zeitung*, *Zeit Online*, and *The Conversation*, and were frequently contacted by influential outlets ranging from broadcast news programmes to international news agencies and newspapers such as the *Financial Times* and the *Wall Street Journal*. Thus, the GIGA further consolidated its position as a trusted go-to source for journalists seeking profound answers to the urgent questions of our time.

www.giga-hamburg.de/en/press

Dr. Julia Grauvogel
commenting on the
Burundi crisis at
Tagesschau

CROSSING BORDERS



The GIGA and the Norddeutscher Rundfunk (NDR), one of the largest radio and television broadcasters in Germany, continued their widely noted event series "Grenzgänger" (Crossing Borders). GIGA researchers and foreign correspondents discussed the causes and wide-ranging consequences of the Syrian civil war, as well as developments in Iran after the nuclear deal, conveying multi-faceted insights and key background information to the viewers. The events were broadcast on TV and radio, and can still be accessed through the NDR media library.

www.giga-hamburg.de/en/events/giga-ndr-series

Discussing „Iran:
A Theocracy in Transition?":
Anna Engelke (NDR) and Prof. Dr.
Henner Fürtig

GIGA BERLIN OFFICE



Prof. Dr.
Bert Hoffmann

The GIGA Berlin Office has established itself as a prominent forum for foreign policy debate in the German capital. As a member of the Leibniz Association, and in line with their leitmotif of "theoria cum praxi," the GIGA is not only committed to pursuing a research agenda on issues of practical relevance but also seeks dialogue with practitioners in the field.

The Berlin Office, located right at Checkpoint Charlie in the heart of Berlin's central district, is the key hub of GIGA outreach to government institutions and parliament, Berlin-based NGOs, the media, and the city's academic community. The GIGA Talks series also regularly brings together GIGA researchers and policymakers to discuss scholarly findings and their practical implications.

For instance, the GIGA project on the policies that Latin American and Caribbean countries have adopted towards their emigrant populations not only interacted with the relevant Berlin-based embassies

and consular offices in its research phase. When the project ended in 2016, it also invited the consular representatives to an outreach workshop at the Berlin Office to present the book that had resulted from the project and to discuss what lessons can be learned from the comparative study conducted.

The GIGA also regularly organises round table meetings together with the Federal Foreign Office. In 2016, the issues discussed included democracy and demography in Africa and the shifting perspectives of regional integration schemes in Latin America. All four GIGA regional institutes brought their expertise together in a public panel debate held at the Berlin Representation of the Free and Hanseatic City of Hamburg. Reflecting GIGA's truly global approach, it looked at democracy from the perspective of different world regions and discussed the policy implications resulting from this (see Highlights section, page 13).

CULTURAL COOPERATION TO FOSTER CHANGE?



What role can cultural and academic cooperation play in foreign policy approaches to states that do not share Western democratic ideals? In 2016, two researchers at the GIGA Berlin Office elaborated policy papers in cooperation with the Institut für Auslandsbeziehungen (ifa), a core institution of Germany's multi-actor foreign cul-

tural policy approach. Christian von Soest investigated the collateral effects of sanctions on the cultural relations with countries like Iran, Cuba, Russia, and Belarus. In the case of Cuba, after Foreign Minister Steinmeier's visit to Havana in 2015, Germany and Cuba began negotiating a cultural agreement. On this basis, Bert Hoffmann explored the perspectives of Germany's cultural and academic relations with Cuba and proposed potential cooperation initiatives.

Both studies were presented at various venues in Berlin and discussed with policymakers as well as with practitioners from the cultural realm.

The publication "Wandel und Annäherung" by Prof. Dr. Bert Hoffmann

GIGA TALKS IN 2016



Religion and Conflict in South(east) Asia: Insights from Bangladesh, India and Myanmar

Can Citizens Living Abroad Count on Their Countries of Origin? Emigrant Policies from 22 Latin American and Caribbean States

Government Crisis and the Olympics in Brazil



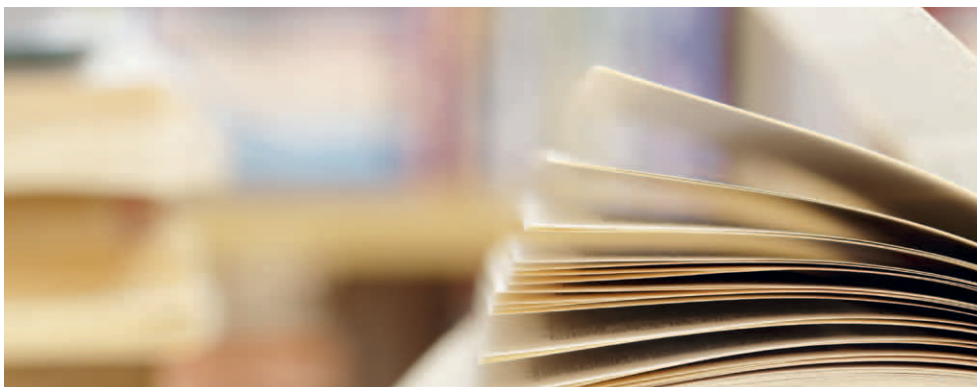
Saudi Arabia Post-Arab Spring: From Regional Ambitions to Domestic Realities

How to Foster Good Governance and Anti-Corruption in European Development Aid

Africa – Obstacles to Industrialisation

Prof. Dr. Robert Kappel and Dr. Stefan Mair (BDI) at the GIGA Talk "Africa – Obstacles to Industrialisation"

GIGA INFORMATION CENTRE



Jan Lüth

The GIGA Information Centre (IZ) is a specialised library focussing on Area and Comparative Area Studies. It collects and makes available literature about the GIGA's research regions, international politics, as well as social science theory and methodology. The IZ provides GIGA scholars with both information-related services and support in all stages of the research process, including in the field of research data management (see box below). The IZ's significance stems from the literature it houses and from its prominence within the larger framework of research information in Germany. The inventory of the IZ is also available for use by external researchers, students, and the general public. The IZ acquired 1,671 new titles in 2016, bringing the total number of items in its collection to 189,533. Additionally, with 3,453 new electronic full texts, the IZ offers more than 51,300 open access documents.

Networking plays an important role in the work of the IZ. Accordingly, the IZ

contributes to the International Relations and Area Studies section of the German Information Network (FIV). Within the framework of the FIV's World Affairs Online (WAO) database, 11 German research institutes collect bibliographic social science data that covers global and regional developments, foreign and security policy, and social trends. In 2016, the IZ contributed 5,460 new records to the WAO (27 per cent of all new entries). The data is accessible through an online public access catalogue (OPAC) and, inter alia, the International Relations and Area Studies Gateway (IREON), the union catalogue of the Association of South-Western German Libraries (Südwestdeutscher Bibliotheksverbund), and EBSCO Discovery Systems. The OPAC includes all the resources available at the IZ: books, journals, e-resources, CDs, and DVDs. About 12,500 visitors accessed the OPAC, in 18,800 sessions.

The IZ takes part in the Electronic Journals Library (EZB) and includes its

print journals in the German Union Catalogue of Serials (ZDB). Information on bibliographical and full-text databases such as encyclopaedias, dictionaries, and fact databases are included in the Database Information System (DBIS).

The IZ's digital offerings are complemented by its contributions to the regional virtual libraries *cibera*, *CrossAsia*, *MENALIB*, and *ilissAfrica*. Serving as one-stop resource centres for the study of specific regions, these portals offer integrated access to relevant conventional and digital information resources. Large databases with links to websites pertinent to studies on Africa, Asia, Latin America, or the Middle East supplement these libraries' services. In addition, the IZ prepares lists of new acquisitions, reading lists, and bibliographies on current topics. These are available online (see www.giga-hamburg.de/en/ic/bibliographies), and

were downloaded about 229,000 times in the year 2016.

As part of its open access services, the IZ indexed and deposited GIGA Focus issues and eight peer-reviewed articles by GIGA researchers into the Social Science Open Access Repository (SSOAR). This not only ensures long-term preservation, it also contributes to the dissemination of GIGA research findings and increases the GIGA's worldwide visibility. GIGA Focus articles were downloaded more than 37,600 times from SSOAR; the deposited articles 5,400 times. Through SSOAR, full texts are also available in *LeibnizOpen* – the Leibniz Association's repository, which offers central access to openly available digital publications authored by Leibniz researchers.



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RESEARCH DATA MANAGEMENT



In 2014, the IZ launched a Research Data Service supplementing its existing support offerings for GIGA researchers. In 2016, Birte Pfeiffer took over the responsibility for this. She provides personalised advice and training on research data management to GIGA researchers in all stages of the research cycle, from project application to data collection to data sharing and archiving. The systematic recording and presentation of research data compiled by GIGA researchers, alongside the assessment of options for re-using qualitative data, are considered among the top priorities of the Research Data Service.

Dr. Birte Pfeiffer at the autumn meeting "The Transfer of Research Data" of the Working Group Knowledge Transfer of the Leibniz Association



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ANNEX

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THIRD PARTY–FUNDED PROJECTS

RESEARCH PROGRAMME I: ACCOUNTABILITY AND PARTICIPATION

Anti-Corruption Policies Revisited: Global Trends and European Responses to the Challenge of Corruption (ANTICORRP) (Christian von Soest, Thomas Richter, Detlef Nolte, Jana Warkotsch)

EU FP7, 2012–2017

Civil Society Organisations as Supporters of Authoritarian Rule? A Cross-Regional Comparison (Vietnam, Algeria, Mozambique) (Patrick Köllner, Bettina Bunk, Jasmin Lorch, Jörg Wischermann)

DFG, 2013–2016

desiguALdades.net – Migration and Unequal Citizenship (Bert Hoffmann, Henio Hoyo)

BMBF, 2014–2016

Ethnic Voting in Latin America (Detlef Nolte, Aline-Sophia Hirseland, Almut Schilling-Vacaflor, Oliver Strijbis, Siri Völker)

DFG, 2015–2018

Ideological Change and Regime Legitimacy in China (Heike Holbig, Maria Bondes, Sandra Heep)

BMBF, 2010–2016

International Diffusion and Cooperation of Authoritarian Regimes (IDCAR) (Maria Josua, André Bank, Julia Grauvogel, Bert Hoffmann, Thomas Richter, Christian von Soest, Georg Strüver)

Leibniz Competition, 2014–2017

Judicial (In)dependence in New Democracies: Courts, Presidents and Legislatures in Latin America and Sub-Saharan Africa (Mariana Llanos, Charlotte Heyl, Alexander Stroh, Cordula Tibi Weber)

Leibniz Competition, 2011–2016

Political Regimes, Reduction of Poverty and Inequality (NOPOOR) (Marina Dodlova, Anna Giolbas, Jann Lay)

EU FP7, 2012–2017

Politics beyond Borders: The New Dynamics of Emigrant Politics and Policies in Latin America (Bert Hoffman, Pau Palop García, Luicy Pedroza)

DFG, 2014–2017

The Institutional Presidency in Latin America (Mariana Llanos, Carolina Guerrero Valencia, Anne Hoffmann, Detlef Nolte, Cordula Tibi Weber)

DAAD (PROBRAL), 2014–2017

The Return of Race-Based Inequalities in Contemporary Cuba: Analyzing the Impact of Past Migration and Current Reforms (Bert Hoffman, Katrin Hansig)

DFG, 2016–2018

RESEARCH PROGRAMME 2: PEACE AND SECURITY

A Dangerous Liaison? Ethnicity, Natural Resources and Civil Conflict Onset (Matthias Basedau, Carlo Koos, Annegret Kuhn, Jan Pierskalla)

DFG, 2012–2016

Against All Odds: Youth in Post-War Societies (Sabine Kurtenbach, Christoph Heuser, Martin Ostermeier, Janina Pawelz, Isabel Rosales)

BMZ, 2013–2017

From Civil War to Social Contract: State Services, Political Trust, and Political Violence (Alexander de Juan, Carlo Koos)

BMZ, KfW, 2014–2017

Extracting Justice? Exploring the Role of FPIC, Consultation and Compensation Related to Socio-Environmental Conflicts in Latin America (Almut Schilling-Vacaflor)

Norwegian Research Council, 2012–2017

From Quietism to Politics: The Egyptian Salafist Movement from 1970 to 2012 (Henner Fürtig, Annette Ranko)

DFG, 2014–2017

Governing People's Safety in Areas of Extremely Limited Statehood: South Sudan and the Central African Republic (Andreas Mehler, Lotje de Vries, Tim Glawion)

DFG, 2014–2017

Ineffective Sanctions? External Sanctions and the Persistence of Autocratic Regimes (Christian von Soest, Matthias Basedau, Julia Grauvogel)

Fritz Thyssen Foundation, 2011–2016

Institutions for Sustainable Peace: Comparing Institutional Options for Divided Societies and Post-Conflict Countries (Nadine Ansorg, Matthias Basedau, Felix Haaß, Sabine Kurtenbach, Andreas Mehler, Julia Strasheim)

Leibniz Competition, GIGA, 2012–2017

Inter-Elite Trust and the Fragility of Transitions: A Comparison between the Party Elites of Tunisia and Egypt (Annette Ranko, Mazan Hassan)

DAAD, 2014–2016

Power-Sharing in Multi-Ethnic Societies of the Middle East (Stephan Rosiny)

VW Foundation, 2012–2016

Prior Consultation and Conflict Transformation in Resource Governance: Bolivia and Peru (Almut Schilling-Vacaflor, Ricarda Flemmer, Anna Hujber)

DSF, BMZ, 2012–2016

Religion and Conflict: On the Ambivalence of Religious Factors in Africa, Asia, Latin America, and the Middle East (Matthias Basedau, Tom Konzack, Georg Strüver, Johannes Vüllers)

BMZ, GIF, 2008–2018

Secondary Theatres of War: The Syrian War in Jordan (André Bank, Yazan Doughan)

DSF, 2015–2017

Security Sector Reform and the Stability of Post-War Peace (Nadine Ansorg, Sabine Kurtenbach, Julia Strasheim)

DFG, 2016–2018

The Influence of Religion on Sustainable Development (Matthias Basedau, Simone Gobien, Sebastian Prediger)

BMZ, 2015–2018

The Local Arena of Power Sharing: Patterns of Adaptation or Continued Disorder (Andreas Mehler, Charlotte Heyl, Franzisca Zanker)

DFG, 2011–2016

The Territorial Dynamics of Colonial State-Building (Alexander de Juan, Jan Pierskalla)

Gerda Henkel Foundation, 2014–2016

War Economies and Postwar Crime (Sabine Kurtenbach, Christoph Heuser, Anne-gret Kuhn, Angelika Rettberg)

Alexander von Humboldt Foundation, 2015–2017

War That Produces Peace? Military Victory and Sustainable Peacebuilding (Giulia Piccolino)

Alexander von Humboldt Foundation, 2014–2016

RESEARCH PROGRAMME 3: GROWTH AND DEVELOPMENT

Analysis of China's Science and Technology Programmes and Strategies (Margot Schüller, Yun Schüler-Zhou)

BMBF, 2015–2016

Climate Change Mitigation and Poverty Reduction (CliMiP): Trade-Offs or Win-Win Situations? (Jann Lay, Miriam Prys, Sebastian Renner)

VW Foundation (Global Challenges), 2013–2016

Evaluating Development Interventions for Peace (Alexander de Juan, Miquel Pellicer, Eva Wegner)

BMZ, KfW, 2016

Gender Norms, Labour Supply and Poverty Reduction in Comparative Context: Evidence from Rural India and Bangladesh (Daniel Neff)

ESRC–DFID Joint Fund for Poverty Alleviation Research, 2014–2017

Landscape-Level Assessment of Ecological and Socio-Economic Functions of Rainforest Transformation Systems in Sumatra (Indonesia) (Jann Lay)

DFG (CRC 990), 2016–2019

Large-Scale Land Acquisitions: Data, Patterns, Impacts, and Policies (Jann Lay, Kerstin Nolte, Martin Ostermeier)

BMZ, GIZ, EU, 2013–2017

Mitigating Trade-Offs between Economic and Ecological Functions and Services through Certification (Jann Lay)

DFG (CRC 990), 2016–2019

Risk, Investment and Poverty: Dynamics of Micro- and Small Firms in Developing Countries (Jann Lay, Lena Giesbert, Tabea Lakemann, Sebastian Prediger)

VW Foundation, Lower Saxony Ministry for Science and Culture, 2015–2018

The Rise of Middle Classes in Emerging and Developing Countries: Patterns, Causes, and Consequences (Lena Giesbert, Jann Lay, Simone Schotte)

Evangelisches Studienwerk e.V. (Villigst), GIGA, 2016–2018

The Productivity Effects of Foreign Direct Investment (FDI) of North–South and South–South Firms: The Case of Sub-Saharan Africa (Birte Pfeiffer)

DFG, 2012–2016

West African Traders as Translators between Chinese and African Urban Modernities (Karsten Giese, Laurence Marfaing, Alena Thiel, Jessica Wilczak)

DFG, 2013–2018

RESEARCH PROGRAMME 4: POWER AND IDEAS

Colombia in the Face of Brazilian Power Projection (Daniel Flemes et al.)

DAAD (PROCOL), 2015–2016

Contested Leadership in International Relations: Power Politics in South America, South Asia and Sub-Saharan Africa (Daniel Flemes, Hannes Ebert)

VW Foundation (Schumpeter Fellowship), 2010–2018

Contested World Orders (Detlef Nolte, Miriam Prys-Hansen, Hannes Ebert)

Leibniz Competition, 2012–2016

Control of Infections: Perception of Risks and Political Agenda for Providing a Public Good (Wolfgang Hein, Julian Eckl, Anne Paschke)

Leibniz Research Alliance “Infections 21,” 2016–2019

Diffusing the EU Model? The European Union’s Influence on Global Regionalism (Tobias Lenz)

Daimler and Benz Foundation, 2015–2017

Explaining Reluctance in International Politics: Rising Powers and Crisis Management (Sandra Destradi)

Jean Monnet Fellowship, 2014–2017

Leaders or “Guides” of Public Opinion? The Media Role of Chinese Foreign Policy Experts (Pascal Abb)

Taiwan Fellowship, 2015–2016

Regional Powers Network (Detlef Nolte, Miriam Prys-Hansen et al.)

Fritz Thyssen Foundation, GIGA, 2008–2017

PUBLICATIONS

PEER-REVIEWED JOURNAL ARTICLES

Ansorg, Nadine, Felix Haass, and Julia Strasheim

Police Reforms in Peace Agreements, 1975–2011: Introducing the PRPA Dataset, in: Journal of Peace Research

Bank, André, Christiane Fröhlich, and Andrea Schneiker

The Political Dynamics of Human Mobility: Migration out of, as and into Violence, in: Global Policy (online first, 09.12.2016)

Basabe, Santiago

The Quality of Judicial Decisions in Supreme Courts: A Concept and Index Applied to Eleven Latin American Countries, in: Justice System Journal (online first, 20.01.2016)

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El impacto de la (in) estabilidad judicial en el crecimiento económico de América Latina: Un análisis en perspectiva histórica, in: Política y Gobierno

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Talking Past Each Other: Regional and Domestic Resistance in the Burundian Intervention Scene, in: Cooperation and Conflict

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A Monte Carlo Study of the BE Estimator for Growth Regressions, in: Empirical Economics

Hettig, Elisabeth, Jann Lay, and Kacana Sipangule

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Preventive Action and Conflict Mitigation in Nigeria's 2015 Elections, in: Democratization, (online first, 08.06.2016)

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Beyond Convergence: Unveiling Variations of External Franchise in Latin America and the Caribbean from 1950 to 2015, in: Journal of Ethnic and Migration Studies (online first, 30.09.2016)

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Opposition Visions for Preserving Syria's Ethnic-Sectarian Mosaic, in: British Journal of Middle Eastern Studies (online first, 25.10.2016)

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Schilling-Vacaflor, Almut

If the Company Belongs to You, How Can You Be Against It? Limiting Participation and Taming Dissent in Neo-Extractivist Bolivia, in: Journal of Peasant Studies (online first, 10.10.2016)

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Who Controls the Territory and the Resources? Free, Prior and Informed Consent (FPIC) as a Contested Human Rights Practice in Bolivia, in: Third World Quarterly (online first, 14.10.2016)

Seemann, Miriam

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Refugees Welcome? A Dataset on Anti-Refugee Violence in Germany, in: *Research and Politics* (online first, 01.11.2016)

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Security Sector Reform, Ethnic Representation and Perceptions of Safety: Evidence from Kosovo, in: *Civil Wars*

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The Hard Power of Natural Resources: Oil and the Outbreak of Militarized Interstate Disputes, in: *Foreign Policy Analysis* (online first, 01.04.2016)

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Local Level Accountability in a Dominant Party System: Insights from South Africa, in: *Government and Opposition* (online first, 29.03.2016)

Wojczewski, Thorsten

China's Rise as a Strategic Challenge and Opportunity: India's China Discourse and Strategy, in: *India Review*

Zanker, Franzisca

Moving Beyond Hybridity: The Multi-Scalar Adaptation of Community Policing in Liberia, in: *Journal of Intervention and Statebuilding* (online first, 02.11.2016)

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280 // انوافصوب سابع / ينيسور نافيتس (Stephan Rosiny and Abbas Busafwan)

ادوقفم لاز ام يذلا شاقنلا: نيرحبلا يف تطلسل مساق ت (Power-Sharing in Bahrain: A Still-Absent Debate)

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2 // Kai Michael Kenkel

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1 // Mariana Llanos and Detlef Nolte

The Many Faces of Latin American Presidentialism

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Syrian Refugees in Jordan: Between Protection and Marginalisation

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Identity, Participation, and Vision – The Expectations That Moderate Islamists Have Yet to Fulfil

1 // Hanspeter Mattes

Developing the Tunisian Interior: High Expectations, Difficult Implementation

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Fürtig, Henner

Großmacht Iran: Der Gottesstaat wird Global Player. Köln: Quadriga

Fürtig, Henner

Geschichte des Irak: Von der Gründung 1921 bis heute. München: C.H. Beck

Giese, Karsten and Laurence Marfaing (eds.)

Entrepreneurs africains et chinois: Les impacts sociaux d'une rencontre particulière.

Paris: Karthala

Hoffmann, Bert

Wandel und Annäherung: Perspektiven deutsch-kubanischer Beziehungen in Kultur und Bildung. Stuttgart: Institut für Auslandsbeziehungen

Kenkel, Kai Michael and Philip Cunliffe (eds.)

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Abingdon/New York: Routledge

Pedroza, Luicy, Pau Palop Garcia, and Bert Hoffman

Emigrant Policies in Latin America and the Caribbean. Santiago de Chile: FLASCO Chile

Prasad, Karolina

Identity Politics and Elections in Malaysia and Indonesia: Ethnic Engineering in Borneo.

Abingdon/New York: Routledge

Wagner, Maren

Social Emergence in International Relations: Institutional Dynamics in East Asia. London/

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Wieczorek, Iris, and Chiavacci David (eds.)

Japan 2016: Politik, Wirtschaft und Gesellschaft. München: Iudicium

GIGA FORUM

China's New Self-Confidence – A Challenge for the West

Speakers: Dr. Margot Schüller (GIGA), Dr. Yun Schüler-Zhou (GIGA), Prof. Dr. Dr. Nele Noesselt (Universität Duisburg-Essen)

Will US Foreign Policy Change Post-Obama?

Speakers: Dr. Pascal Abb (GIGA), Dr. Josef Braml (DGAP), Prof. Dr. Henner Fürtig (GIGA), Prof. Dr. Robert Kappel (GIGA)

The End of the Rise? The BRICS Summit in India

Speakers: Dr. Miriam Prys-Hansen (GIGA), Prof. Dr. Kai Michael Kenkel (PUC-Rio), Paul J. Kohlenberg (SWP)

The Limits of Civilian Crisis Prevention: Is Burundi Facing Civil War?

Speakers: Ines Gakiza (Journalist, Burundi), Julia Grauvogel (GIGA), Winfried Nachtwei (former Member of German Bundestag)

Brazil: Crisis and Games

Speakers: Dr. Jan Curschmann (Honorary Consul of Brazil), Prof. Dr. Kai Michael Kenkel (PUC-Rio), Dr. Mariana Llanos (GIGA)

The New Arab Wars: Consequences and Challenges for the Middle East

Speakers: Prof. Marc Lynch (George Washington University), Prof. Dr. Reinoud Leenders (King's College London), Dr. André Bank (GIGA)

“Because They Matter”: Recognise Diversity – Globalise Research

Speakers: Prof. Dr. Amrita Narlikar (President of the GIGA), Dr. Thomas Bagger (German Federal Foreign Office), Prof. Laurence Whitehead (University of Oxford), Dr. Stephan Rosiny (GIGA), Dr. Christian von Soest (GIGA)

[For GIGA Talks and other events of the GIGA Berlin Office, see pages 48–49 in this report.](#)

LECTURES AND DISCUSSIONS

GIGA Distinguished Speaker Lecture Series

Ruptures and Bridges – German Foreign Policy in Turbulent Times

(A talk by German Foreign Minister Dr. Frank-Walter Steinmeier)

GIGA Distinguished Speaker Lecture Series

On Sanctions and Inducements – Dealing with Nuclear Proliferation

(Lecture by Prof. Etel Solingen, University of California, United States)

Discussion

Iran: Aufbruch im Gottesstaat?

(Part of the GIGA–NDR series “Border Crossers – Foreign Correspondents Connecting with Scholars”)

Discussion

Brandherd Nahost – Wie der Syrien-Krieg die Welt verändert

(Part of the GIGA–NDR series “Border Crossers – Foreign Correspondents Connecting with Scholars”)

Discussion

Midday Talk – Fighting against Corruption in the EU, Germany and Latin America

(Joint event with the Friedrich Naumann Foundation for Freedom and the Lateinamerika Verein e.V. [LAV], Germany)

GIGA Seminar in Socio-Economics

Suburbs of Distinction: Middle Class Boundary Work in Suburban Dar es Salaam

(Lecture by Dr. Claire Mercer, London School of Economics, United Kingdom)

GIGA Seminar in Socio-Economics

Subsidies, Learning Effects and Reference Dependence – Does Free Distribution of Improved Biomass Cookstoves Decrease Willingness to Pay in the Long Run?

(Lecture by Dr. Gunther Bensch, Rheinisch-Westfälisches Institut für Wirtschaftsforschung e.V. [RWI], Germany)

GIGA Seminar in Socio-Economics

Leadership in Climate Change Mitigation: Consequences and Incentives

(Lecture by Dr. Gregor Schwerhoff, Mercator Research Institute on Global Commons and Climate Change [MCC], Germany)

GIGA Research Seminar

How Do Autocrats Legitimate Their Rule? First Findings from a New Regime Legitimation Expert Survey

(Organised by the GIGA Institute of African Affairs)

GIGA Research Seminar

Judicial (In)dependence in New Democracies

(Presentation by Dr. Mariana Llanos, GIGA)

GIGA Research Seminar

Global Power Shifts and the Future of Democracy: An Evolutionary Approach, with Special Attention to China

(Presentation by Prof. John M. Owen, University of Virginia, United States, and Prof. Dr. Heike Holbig, GIGA)

Lecture

Using QDA to Trace Norm Contestation

(Lecture by IDCAR network partners Betsy Jose, PhD, and Dr. Christoph Stefes, University of Denver, United States)

Lecture

Legitimacy in the Gulf Monarchies from a Comparative Perspective

(Lecture by Prof. Dr. Thomas Demmelhuber, University of Erlangen, Germany)

Lecture

Von globalen Ideologien zu nationalen Missionen: Legitimationsstrategien von Neo-Autokratien

(Lecture by Prof. Dr. Marianne Kneuer, University of Hildesheim, Germany)

Lecture

Die Opfer und ihre Beteiligung am Friedensprozess in Kolumbien

(Lecture by Dr. Luis Fernando Niño, Departamento de Norte de Santander, Colombia)

Lecture

After Vienna: A Status Report on the Iran Nuclear Agreement

(Lecture by Naysan Rafati, PhD, Institut français des relations internationales [Ifri], France)

Lecture

Violación de derechos humanos en Colombia y su impacto en el territorio

(Lecture by Dr. Vanessa Suelte Cock, Pontificia Universidad Javeriana, Colombia)

Lecture

The Policy-Making Capacities of Latin American Foreign Ministries: A Study of Argentina, Brazil and Mexico, 1946–2015

(Lecture by Dr. Andrés Malamud, Universidad de Lisboa, Portugal)

Lecture

Autocratic Legalism in the Era of Economic Ruin: Venezuela Today

(Prof. Javier Corrales, Amherst College, Massachusetts, United States)

Lecture

Brazilian Development Cooperation before and after the Economic Crisis

(Lecture by Dr. André de Mello e Souza, Institute for Applied Economic Research [IPEA], Brazil)

Lecture

Qualitative Methods and the Study of Violent Conflict

(Lecture by Prof. Jeffrey Checkel, Simon Fraser University, Canada)

Lecture

Methodological Challenges of Social Media Analysis in Developing & Conflict Contexts

(Lecture by Prof. Ben O'Loughlin, University of London, United Kingdom)

Lecture

Geopolitical Competition between Japan and China in the Southeast Asian Region

(Lecture by Prof. Aizawa Nobuhiro, Kyushu University, Japan)

Lecture

Growth, Poverty, and Inequality in India

(Lecture by Prof. Amaresh Dubey, Jawaharlal Nehru University, India)

WORKSHOPS AND CONFERENCES

External Intervention in the Age of Global Transitions

(GIGA Global Transitions Conference, Hamburg, Germany)

EU–China Relations: A New Era of Cooperation?

(Joint workshop with the Confucius Institute at Freie Universität Berlin, Berlin, Germany)

Advances in the Comparative Study of Emigrant Policies

(Workshop at the GIGA, Hamburg, Germany)

Germany's and Brazil's Changing Foreign Policy

(Joint workshop with the Post Graduate Program in International Relations at Rio de Janeiro State University and the German Academic Exchange Service [DAAD], Rio de Janeiro, Brazil)

Reluctant Powers? Brazil's and Germany's Changing Foreign Policy

(Joint workshop with the Instituto de Relações Internacionais da Universidade de São Paulo [IRI-USP], the Cátedra Martius de Estudos Alemães e Europeus [CM], and the German Academic Exchange Service [DAAD], São Paulo, Brazil)

Electoral Law Reforms in Post-Conflict Societies: The Arab World and Beyond

(Joint conference with the Lebanese American University [LAU] and the Arab Network for Democratic Elections [ANDE], Byblos, Lebanon)

Hamburg Summit: China Meets Europe 2016

(Conference in cooperation with the Hamburg Chamber of Commerce, Hamburg, Germany)

Causes of the Varying Performance of Indian States

(Joint workshop with Jawaharlal Nehru University [JNU] and the Indian Institute of Dalit Studies [IIDS], New Delhi, India)

Machtteilung in Nachkriegs- und Nachkrisengesellschaften

(Joint workshop with the Institute for Peace Research and Security Policy [IFSH], Hamburg, Germany)

The Relevance of Area Studies for the Sciences and Public Policy

(Joint conference with the Friedrich Ebert Foundation [FES], the Japanese–German Center Berlin [JDZB], the Leibniz Association, and the Relational Studies on Global Crisis project [a Japan Society for the Promotion of Science Grants-in-Aid project], Tokyo, Japan)

The Dragon and the Elephant Meet the Jaguars: China and India in Latin America

(Joint conference with the FGV International Intelligence Unit and the Instituto de Relações Internacionais, PUC-Rio, Rio de Janeiro, Brazil)

Exploring Energy Regionalism

(Workshop at the GIGA, Hamburg, Germany)

India Rising: A Multi-Layered Analysis of Ideas, Interests, and Institutions

(Workshop at the GIGA, Hamburg, Germany)

Explaining the Rise and Varieties of Party Factionalism: Evidence from Competitive Party Systems in Southeast Asia

(Joint workshop with Naresuan University, Phitsanulok, Thailand)

The Legacy of Armed Conflicts: Southern African and Comparative Perspectives

(Joint workshop with the Centre for the Study of Governance Innovation [GovInn], Pretoria, South Africa)

War Economies and Post-War Crime

(Joint workshop with the Universidad de los Andes and the Alexander von Humboldt Foundation, Hamburg, Germany)

ADLAF-Tagung 2016: Soziale Ungleichheit und Gewalt

(Joint conference with the Arbeitsgemeinschaft Deutsche Lateinamerika-Forschung [ADLAF] and the Friedrich Ebert Foundation, Berlin, Germany)

Applying Mixed Methods: Studying Work in Asia

(Joint workshop with the University of Manchester, Hamburg, Germany)

Transnational Diffusion, Cooperation and Learning in the Middle East and North Africa: A Post-Arab Uprisings Perspective

(Joint workshop with the Project on Middle East Political Science [POMEPS], Hamburg, Germany)

Certification of Agricultural Products as a Key Driver of Sector Transformation

(Joint workshop with the GIZ Forum Nachhaltiges Palmöl [FONAP] and the Poverty Reduction, Equity and Growth Network [PEGNet], Hamburg, Germany)

Inequality and Middle Class Development in Africa

(Joint workshop with the Institute for Justice and Reconciliation [IJR], the Southern Africa Labour and Development Research Unit [SALDRU], and the University of Cape Town, Cape Town, South Africa)

Think Tanks in Asia: Different Contexts, Common Destinies?

(Joint workshop with the Tsinghua University and the Friedrich Ebert Foundation, Beijing, China)

Rethinking Equality: Interdependent Inequalities in Latin America

(International conference of the Research Network on Interdependent Inequalities in Latin America, Berlin, Germany)

Forschen – Beraten – Publizieren: Strategische Herausforderungen

(Joint workshop with the Institut für Auslandbeziehung [ifa], Hamburg, Germany)

Transnational Diffusion, Cooperation and Learning in the Middle East and North Africa

(Joint workshop with the Project on Middle East Political Science [POMEPS], Hamburg, Germany)

Die Perzeption Chinas in Europa: Starker Wirtschaftspartner mit schlechtem Image

(Joint event with the Hamburg Chamber of Commerce, Hamburg, Germany)

Geographic Tools and Maps in the Study of Violent Conflict

(Joint workshop with Prof. Clionadh Raleigh, University of Sussex, Hamburg, Germany)

Islamists in Transnational Perspective

(Joint workshop with the Centrum für Nah- und Mittelost-Studien [CNMS], Hamburg, Germany)

Dialogue Event: Colombia

(Joint event with LACalytics, Hamburg, Germany)

Asia's Future

(Workshop at the GIGA, Hamburg, Germany)

INTERNATIONAL NETWORKING

VISITING FELLOWS

Pia Riggiozzi	University of Southampton
Tamirace Fakhoury	Lebanese American University, Beirut
Esther Ishengoma	University of Dar es Salaam
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Jaermin Shim	St Cross College, Oxford
Evrin Gormus	Istanbul Bilgi University
Mohamed Rafi	University of California, Irvine
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Cecilia Roa García	University of British Columbia
Kai Michael Kenkel	Pontifícia Universidade Católica, Rio de Janeiro
Nora Kawaser	Cairo University
Mazen Hassan	Cairo University
Marianne Kneuer	Universität Hildesheim
Nkwachukwu Julius Orji	University of Nigeria
Víctor González Sánchez	Universidad Nacional de Educación a Distancia
Sandra Zapata	Universidad de Salamanca
Cristobal Rovira Kaltwasser	Universidad Diego Portales, Santiago de Chile
Vanessa Sueli Cock	Universidad Javeriana, Bogotá
Santiago Basabe Serrano	FLACSO Quito
Ricardo Gamboa	Universidad de Chile, Santiago de Chile
Ervyn Hermilzon Norza Cespedes	Universidad de los Andes, Bogotá
Angelika Rettberg	Universidad de los Andes, Bogotá
Haibin Niu	Shanghai Institute for International Studies
Andrés Malamud	Universidade de Lisboa
Javier Corrales	Amherst College, Massachusetts
André de Mello e Souza	Institute for Applied Economic Research, Brasília

Germán Ríos	CAF Banco de Desarrollo de América Latina
Guillermo Fernández de Soto	CAF Banco de Desarrollo de América Latina
Kai Enno Lehmann	Universidade de São Paulo
Nicolás Comini	Universidad del Salvador, Buenos Aires
Giulia Piccolino	University of Florence
Sazana Jayadeva	University of Cambridge
Ana Isabel López García	CONACYT (Mexico)

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Fürtig, Henner, Prof. Dr.	Director of IMES
Peetz, Peter, Dr.	Head of finances and administration

ACADEMIC STAFF

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Waßmann, Nina

Watermülder, Heike

Westphal, Susann

Wiegmann, Nadine

Willers, Wilma

DOCTORAL TRAINING

DOCTORATES EARNED IN 2016

Name	Doctoral Thesis	Supervisors
Alexandr Burilkov	The Maritime Strategy of Regional Powers: China, India, Iran, and Brazil from 2001 to 2015	Michael Brzoska, Henner Fürtig
Hannes Ebert	Change and Contestation in South Asia	Michael Brzoska, Sumit Ganguly
Jorge Garzón	The Problem of Regions and Regionalism in a Multipolar World	Detlef Nolte, Andrés Malamud
Anne Marie Hoffmann	Regional Public Policy-Making: The Case of UNASUR	Detlef Nolte, Pia Riggiozzi
Janina Pawelz	The Transformation of Violence-Prone Groups: The Cases of Trinidad and Tobago and Timor-Leste	Kai-Uwe Schnapp, Sabine Kurtenbach
Ina Peters	Cohesion and Fragmentation in the Social Movement against Belo Monte: How Frames and Identities Promote Movement Dynamics	Kai-Uwe Schnapp, Detlef Nolte
Sebastian Renner	Poverty, Inequality and the Decarbonization of Economic Development	Jann Lay, Stephan Klasen
Vita Roy	Managing Lootable Natural Resources: What Makes Successful Strategies of Reconstruction?	Matthias Basedau, Kai-Uwe Schnapp
Julia Strasheim	Interim Governments and the Stability of Peace	Aurel Croissant, Jale Tosun
Thorsten Wojczewski	India and the Quest for World Order: Hegemony and Identity in India's Post-Cold War Foreign Policy Discourse	Dirk Nabers, Patrick Köllner

ENGAGEMENT IN PROFESSIONAL ASSOCIATIONS

AREA-SPECIFIC ASSOCIATIONS

Africa–Europe Group for Interdisciplinary Studies (AEGIS)	Institutional membership
African Studies Association in Germany (Vereinigung für Afrikawissenschaften in Deutschland, VAD)	Hosting of secretariat
CrossArea. Association for Transregional Studies, Comparative Area Studies, and Global Studies (Verband für Transregionale Studien, Vergleichende Area Studies und Global Studies)	Institutional membership
European Alliance for Asian Studies (Asia Alliance)	Patrick Köllner, board member
European Association for Middle Eastern Studies (EURAMES)	Henner Fürtig, council member
Euro–Latin American Network of Governability for Development (Red Euro–Latinoamericana de Gobernabilidad para el Desarrollo, RedGob)	Institutional membership
European Council for Social Research on Latin America (Consejo Europeo de Investigaciones Sociales de América Latina, CEISAL)	Institutional membership
German Association for Asian Studies (Deutsche Gesellschaft für Asienkunde, DGA)	Hosting of secretariat; Karsten Giese, executive board member; Margot Schüller, deputy chairperson; Günter Schucher, editor of DGA journal ASIEN
German Association of Latin American Studies (Arbeitsgemeinschaft Deutsche Lateinamerika-Forschung, ADLAF)	Institutional membership; Detlef Nolte, executive board member
German Middle East Studies Association for Contemporary Research and Documentation (Deutsche Arbeitsgemeinschaft Vorderer Orient, DAVO)	Institutional membership
Latin American Political Science Association (Asociación Latinoamericana de Ciencia Política, ALACIP)	Mariana Llanos, secretary general
World Congress of Middle East Studies (WOCMES)	Henner Fürtig, advisory board member

THEMATIC AND DISCIPLINARY ASSOCIATIONS

European Association of Development Research and Training Institutes (EADI)	Institutional membership
European Consortium for Political Research (ECPR)	Institutional membership
German Political Science Association (Deutsche Vereinigung für Politikwissenschaft, DVPW)	Patrick Köllner, co-speaker of working group “Democracy Research”; Tobias Lenz, co-speaker of thematic group “Comparative Regionalism Research”
Other associations such as International Studies Association (ISA), American Political Science Association (APSA), International Political Science Association (IPSA), Verein für Socialpolitik, American Economic Association (AEA), Midwest Political Science Association (MPSA), European Union Studies Association (EUSA), European International Studies Association (EISA)	Individual memberships of GIGA researchers

FINANCIAL STATEMENT

FINANCIAL STATEMENT | JANUARY–31 DECEMBER 2016



Dr. Peter Peetz

The GIGA is striving to maintain a high level of third party-funded project grants. In 2016 these funds totalled EUR 2,913,748 and accounted for 26 per cent of total revenues.

The GIGA is thankful for the institutional support provided by the Free and Hanseatic City of Hamburg (Ministry of Science, Research and Equalities), the other federal states (Länder), and the Federal Republic of Germany (Federal Foreign Office). The GIGA would also like to express its gratitude to all those institutions that have enhanced the GIGA's research activities by granting funding.

Revenues (in EUR)

1.	Institutional support (federal and Länder)	6,643,772
2.	Third-party funding for projects	2,913,748
3.	Revenues from sales of publications	21,494
4.	Investment income from capital assets	31,631
5.	Amortisation of special items (reversal of accruals, etc.)	1,564,476
6.	Other operating income	44,570
Total revenues		11,219,691

Expenses (in EUR)

1.	Staff expenditures	7,120,286
2.	Service expenses; material and operating expenses	2,796,716
3.	Allocation to special items (accruals, etc.)	1,302,689
Total expenses		11,219,691

BOARDS

BOARD OF TRUSTEES

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Prof. Diana Tussie, PhD	Facultad Latinoamericana de Ciencias Sociales (FLACSO), Buenos Aires, Argentina
Prof. Antje Wiener, PhD	University of Hamburg, Institute for Political Science, Centre for Globalisation and Governance (CGG), Germany

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Dr. Manuela Urban	Forschungsverbund Berlin e.V.
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ABBREVIATIONS

ANTI-CORRP	Anti-Corruption Policies Revisited – Global Trends and European Responses to the Challenge of Corruption	
BDI	Federation of German Industries	Bundesverband der Deutschen Industrie e.V.
BMBF	Federal Ministry of Education and Research	Bundesministerium für Bildung und Forschung
BMWi	Federal Ministry for Economic Affairs and Energy	Bundesministerium für Wirtschaft und Energie
BMZ	Federal Ministry for Economic Cooperation and Development	Bundesministerium für wirtschaftliche Zusammenarbeit und Entwicklung
BRICS	Brazil, Russia, India, China, South Africa	
CAS	Comparative Area Studies	
CLiMiP	Climate Change Mitigation and Poverty Reduction	
CrossAsia	Virtual Library East and Southeast Asia	Virtuelle Fachbibliothek Ost- und Südostasien
DAAD	German Academic Exchange Service	Deutscher Akademischer Austauschdienst
DBIS	Database Information System	Datenbank-Infosystem
DFG	German Research Foundation	Deutsche Forschungsgemeinschaft
DP	GIGA Doctoral Programme	
ECPR	European Consortium for Political Research	
EZB	Electronic Journals Library	Elektronische Zeitschriftenbibliothek
FARC	Fuerzas Armadas Revolucionarias de Colombia	
FFO	Federal Foreign Office	Auswärtiges Amt
FIV	German Information Network International Relations and Area Studies	Fachinformationsverbund Internationale Beziehungen und Länderkunde
FLASCO	Facultad Latinoamericana de Ciencias Sociales	
FP7	7th Framework Programme (EU)	
FPIC	Free, Prior, and Informed Consent	

GDP	Gross domestic product	
GEM-STONES	Marie Skłodowska-Curie Action Fellowship Programme "Globalisation, Europe and Multilateralism – Sophistication of the Transnational Order, Networks and European Strategies"	
GovInn	Centre for the Study of Governance Innovation (University of Pretoria)	
HSU	Helmut Schmidt University / University of the Federal Armed Forces Hamburg	Helmut-Schmidt-Universität / Universität der Bundeswehr Hamburg
IAA	GIGA Institute of African Affairs	GIGA Institut für Afrika-Studien
IAS	GIGA Institute of Asian Studies	GIGA Institut für Asien-Studien
IDCAR	International Diffusion and Cooperation of Authoritarian Regimes	
ifa	Institut für Auslandsbeziehungen	
IJR	Institute for Justice and Reconciliation, Cape Town	
ILAS	GIGA Institute of Latin American Studies	GIGA Institut für Lateinamerika-Studien
ilissAfrica	Internet Library Sub-Saharan Africa	
IMES	GIGA Institute of Middle East Studies	GIGA Institut für Nahost-Studien
IREON	International Relations and Area Studies Gateway	
IRI	Institute of International Relations (PUC-Rio)	
IRS	Leibniz Institute for Social Science-based Spatial Research	Leibniz-Institut für Raumbezogene Sozialforschung
IS	Islamic State	
IZ	GIGA Information Centre	GIGA Informationszentrum
JCCA	Journal of Current Chinese Affairs	
KIK	Crisis Indicator Catalogue	Krisenindikatoren-Katalog
LAU	Lebanese American University	
MENALIB	Virtual Library Middle East / North Africa	
NDR	Northern German Broadcasting	Norddeutscher Rundfunk
NGO	Non-governmental organisation	

NOPOOR	Enhancing Knowledge for Renewed Policies against Poverty	
OPAC	Online public access catalogue	
OPEC	Organization of the Petroleum Exporting Countries	
OTTD	Observatoire Tunisien de la Transition Démocratique	
PÖK	Politico-economic short analyses	Politökonomische Kurzanalysen
POMEPS	Project on Middle East Political Science	
PRIMO	Power and Region in a Multipolar Order	
PUC-Rio	Pontifícia Universidade Católica do Rio de Janeiro	
RP 1	Research Programme 1: Accountability and Participation	Forschungsschwerpunkt 1: Politische Verantwortlichkeit und Partizipation
RP 2	Research Programme 2: Peace and Security	Forschungsschwerpunkt 2: Frieden und Sicherheit
RP 3	Research Programme 3: Growth and Development	Forschungsschwerpunkt 3: Wachstum und Entwicklung
RP 4	Research Programme 4: Power and Ideas	Forschungsschwerpunkt 4: Macht und Ideen
SALDRU	Southern Africa Labour and Development Research Unit	
SSOAR	Social Science Open Access Repository	
SWR	Southwest Broadcasting	Südwestrundfunk
UERJ	Universidade do Estado do Rio de Janeiro	
UNASUR	Unión de Naciones Suramericanas	
UNDP	United Nations Development Programme	
USP	Universidade de São Paulo	
WAO	World Affairs Online	
ZDB	German Union Catalogue of Serials	Zeitschriftendatenbank

GIGA German Institute of Global and Area Studies / Leibniz-Institut für Globale und Regionale Studien

The GIGA German Institute of Global and Area Studies is an independent social-science research institute based in Hamburg. It analyses political, social, and economic developments in Africa, Asia, Latin America, and the Middle East and compares issues of accountability and participation, peace and security, growth and development, and power and ideas across world regions. The GIGA is committed to scholarship that is global in content, structure, and reach. It combines in-depth area expertise and interdisciplinary comparison. Its unique approach provides for impactful new insights and allows the Institute to bring original value-added to the field. Research-based policy advice and knowledge transfer to the wider public are essential elements of its mandate.

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