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Social Psychology and the Sociology of Knowledge
(Psicología Social y Sociología del conocimiento)

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One of the most promising perspectives to link social psychology with sociology has been, undoubtedly, the sociology of knowledge as formulated by Peter L. Berger and Thomas Luckmann in *The Social Construction of Reality*. The current twenty-fifth anniversary of this book invites us to reassess its contents, to evaluate the impact it has exerted, and to examine its legacy.

It was one of Alfred Schutz' major unrealized projects, prevented by his early death, to formulate a new theoretical foundation for the sociology of knowledge. His blend of phenomenology and Weberian sociology promised to locate the sociology of knowledge on new ground, redefining its perspective as well as its basic concepts. Peter L. Berger and Thomas Luckmann, who had studied at the New School for Social Research in New York where Schutz had taught, decided to pursue this project when they met as junior members of the Graduate Faculty at their «alma mater». The result was quite dramatic: a modern sociological «classic» was born.

In social science, *The Social Construction of Reality* by Berger and Luckmann has become one of the most cited books of the past 25 years. Its title is, undoubtedly, one of their outstanding achievements. Its contents, however, while brilliantly written have possibly never been really understood by many of its readers. Some have said, rather maliciously, that the book sold so well because many engineers (mistakenly) bought it. Unfortunately, I may add, of the many social scientists who bought or cited the book only a few have studied it.

1. THE BASIC CONCEPTION OF SOCIAL CONSTRUCTION

The logic of the Social Construction was simple: Society must be grasped in its duality as an «objective» and a «subjective» reality. The objective social

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theory could of reality, although produced by social action, appears to the individual as separate and independent from him or her. The subjective side consists in the consciousness an actor has, shaped in pervasive processes of socialization, and sustained and modified in daily interactions. In this duality the seeming dichotomy of Durkheim and Weber was reconciled, and the basic question for sociological theory could be put as follows: «How is it possible that subjective meanings become objective facticities?» (Berger & Luckmann, 1967, 30). To avoid intricate philosophical reflections, they defined the key terms from the point of view of the natural attitude: «It will be enough, for our purposes, to define “reality” as a quality appertaining to phenomena that we recognize as having a being independent of our volition (we cannot “wish them away”), and to define “knowledge” as the certainty that phenomena are real and that they possess specific characteristics» (Berger & Luckmann, 1967, 13). The revolutionary idea was to declare common sense knowledge as a central focus for the sociology of knowledge. Traditionally, the sociology of knowledge has been preoccupied with the history of ideas only; now, it must concern itself with everything that passes for “knowledge” in society (ibid., 26).

The authors’ main thesis that reality is socially constructed, and that sociology has to study the ways in which this is done, was striking. The book resurrected Alfred Schutz’ phenomenological analysis of the life-world, used it to clarify basic sociological concepts like role and institution, and offered a new synthesis not only of Weber and Durkheim, but also of Mead and philosophical anthropology (Gehlen and Plessner). Berger and Luckmann’s explicitation of the media through which social order is objectified—typification, signs, symbols, habitualization, and so on—rendered deep insights into the richness of human interaction. Their analysis of the relationship between social institutions and the symbolic worlds of meaning legitimized them to prove how conventional jargon about the «logic of institutions» obscured the actual processes through which institutions become social realities. They presented a sociological theory which conceived of social actors as competent humans, evaded sociological reifications, and abjured the widespread arrogance of social scientists (who at the time loved to talk of «false consciousness» and Freudian «unconscious constraints»), properly identified, of course, only by themselves). But above all they made clear how naïve an objectivist stance towards social reality is. Put simply: The how of social phenomena has to be explicated before we can attend to the what and the why.

2. SOCIAL CONSTRUCTION AND IDEOLOGY

The book was received well by its critics. Indeed, at a time when the prevalence of Parsonian structural-functionalism was eroding and the «coming crisis of Western sociology» (Gouldner, 1970) was being discussed, Social Constructions gave new orientation to many sociologists. It offered a new reading of several sociological classics—different from Parsons’ interpretation in his Structure of Social Action (Parsons, 1937)—and linked their perspectives in a fresh way. As Charles Lemert (1992, 10) puts it in retrospect: «By this day, I cannot think of a single book that presents with such exquisite parsimony so many different ideas so well».

Although Berger as well as Luckmann agree that they would change very little in the book if they were to rewrite it today (Berger, 1992, 1), its impact on American sociology remained—in the authors’ view—somewhat marginal. One reason was that both authors were situated in an emphatically peripheral, non-elite institution (ibid.). The other was «the orgy of ideology and utopianism that erupted all over the academic scene in the late 1960s, almost immediately after the publication of our book. Neither Luckmann nor I had any sympathy with this Zeitgeist...» (ibid.). But it was this context, as I shall point out, which shaped a specific—and misleading—reception of their book.

In this respect, the situation in Europe was quite similar. Social Construction was translated in many languages. In Germany, for example, it was published at S. Fischer in 1970, opening the new series «Conditio Humana», and was introduced by the great Helmhut Plessner. Interestingly enough, it was not reviewed by the renowned Kölner Zeitschrift für Soziologie und Sozialpsychologie. Otherwise it was well received. Book reviewers commended the new, unideological approach, praised the comparatively low price of a high-quality book and expressed amazement that an American original was published in German within only three (actually four) years. Although the sociology of knowledge used to be a pet theme of German readers (as Plessner notes in the introduction), Social Construction did not have an easy time of it. When structural-functionalism and quantitative sociology—both imported from the United States after World War II—confronted growing criticism in the sixties, it was the Frankfurt school as well as neo-Marxism which reaped the benefit. Then, after Habermas entered into a well-publicized debate with Niklas Luhmann (who defended a functionalist systems theory blending Parsonian and phenomenological concepts), the two became the most cited and quoted German sociologists of the period.

In this intellectual context, in the United States as well as in Europe, Social Construction was often interpreted with a special twist. Many left-liberal veterans of the ‘60s turned to this book to make sense of life and sociology, detecting the arbitrariness of social constructions (cf. Lemert, 1992, 10). Constructionism became a radical perspective which helped to reveal reality, to strip it of ideological distortions, and to pave the way for new interpretations. Academic feminism is a particularly prominent example for this view. As inspiring as such an interpretation can be, it is far indeed from Berger and Luckmann’s intentions. Thus Luckmann assures: «Whenever someone mentions “constructivism” or even “social constructionism”, I run for cover these days» (Luckmann, 1992, 4). And Berger sees much of the «constructivists’ literature as coming from the aforementioned ideological cauldron with which I have no affinity whatever» (Berger, 1992, 2).

Instead, Berger and Luckmann advocated an empirical sociology of knowledge which investigates the intricate ways in which reality is socially constructed. They adhered to the Weberian maxim that a scientist’s task is to describe and explain social actions and their consequences as they are, but not to proclaim any political stance how things should be. In practice, this maxim of Wertherism has to be seen in its own complexities: Any empirical description or proposition makes use of typifications which are embedded in systems of relevancies, i.e. necessarily has its value implications. Thus, to see existing social constructions on different premises may well sharpen one’s eyes for how they are constructed, as both Schutz and Simmel have shown thoroughly with their analyses of being a stranger. The main problem is not the search for ar-
bizarreness in social constructions, but the way such research is done. I would agree here with Mary F. Rogers who brands «theoretical tokenism» which unduly limits the impact of Berger and Luckmann’s book: «Social Constructionism» often serves as little more than theoretical shibboleth accompanied by a few flat propositions about how people ‘construct’ their identities, worldviews, and taken-for-granted ways of managing their affairs» (1992, 6).

3. PHENOMENOLOGY AND SOCIOLOGY

On the other hand, even many of those who called themselves «phenomenological sociologists» did not grasp the logic of Berger and Luckmann’s paradigm correctly. Many overlooked the central fact that the authors introduced their dualistic conception of the society as an objective and a subjective reality by a part they explicitly called «philosophical prolegomena» and as such «pre-sociological»: the phenomenological analysis of the foundations of knowledge in everyday life. They draw a strict line between a phenomenological analysis of the life-world and a sociological analysis of society: Both are «empirical», although not in the same sense; while the phenomenological method is «epistemological», the social scientific method is «cosmological» (cf. Luckmann, 1973). In this sense, much of what has been labelled as «phenomenological sociology» had little to do with phenomenology and not much to do with sociology either!

It is one of the shortcomings of Social Construction, in my view, that Berger and Luckmann excluded epistemological and methodological considerations. I admit that tactically this may have been a good move: It stressed their intention to proclaim an empirical sociology of knowledge (as a «Wirklichkeitswissenschaft») which clearly differed from the older tradition, and it opened the door to the many social scientists who dislike any philosophical binding. However, they also risked broad misunderstandings of fundamental concepts and also missed out on a fuller analytic empowerment through Schutz’ life-world analyses. For many it remained obscure why sociology should care about consciousness and subjective meanings, given their concern with social actions and social facts. Why should they not restrict their attention to external, observable behavior? Yet, it is Shutz’ critical epistemological contribution to analyze in rich detail the act of interpretation (Verstehen) in everyday life as well as in the social sciences. The formal meaning structures of the (phenomenologically analyzed) life-world provides, on an epistemological level, a frame in which the hermeneutic task of any sociological analysis, qualitative or quantitative, inevitably has to be pursued. How (socially derived) subjective knowledge involved in concrete human actions can be explicated by the sociologist is a methodological issue. Its implications, however, are always epistemological.

Thus, it is highly illuminating to examine Social Construction in the context of the Structures of the Life-World (Shutz & Luckmann 1973, 1989) and of the respective authors’ methodological writings (Luckmann 1973, for one; Berger & Kellner 1981). In this light, it becomes clear that rather terse term «construction» parallels «constitution»: Construction is a social process and has to be analyzed by sociology; the constitution of meaning is a subjective process which takes place in consciousness and has to be analyzed by phenomenology. Moreover, for readers bothered by the rather loose definitions of some central concepts in Social Construction (like “knowledge”, “reality”, “objectification” and so on), fine-grained specifications of each term can be found in the Structures of the Life-World. Even for those skeptical of the potential of phenomenological analyses to evade the reflexive circle, few other books explicate human experience, knowledge and action, the different transcendencies and the complex interrelatedness of subjective and intersubjective knowledge in richer detail. Unfortunately, more than twenty years passed between the first publication of Social Construction and the publication of the second volume of Structures of the Life-World. This may have been one reason that the intimate relationship between the two has been recognized by rather few. In the intervening years, as indicated above, the reception of these basic ideas had taken quite diverse and often divergent routes.

4. SOCIAL CONSTRUCTIONISM AND SUBJECTIVE CONSTRUCTIVISM

Interestingly enough, Berger and Luckmann considered the title The Social Construction of Reality as self-evident: they have never delivered a clear definition of what they meant by it. Furthermore, readers of translated versions of the book may well find that what is called «construction» or «constructed» in their language is expressed differently in the English original. But, all things considered, «social construction» obviously has different meanings. For one, the term «construction» has a static as well as a dynamic aspect. In its static aspect it denotes a reality-as-it-is (appears), in its dynamic aspect it means the process of reality-construction. Then again, it makes a difference if we see a natural landscape with its mountains, rivers, meadows, cows, farmhouses and so on—a natural reality shaped by our cultural knowledge—or if we gaze at a society which is produced, through and through, by human actions. To understand what is going on in society (e.g. in a social setting), the sociologist has to grasp the meanings the actors themselves employ and are embedded in.

It is one of the main theses of Social Construction that cultural constructs are socially stabilized by institutional structures. Constructions are thus not the subjective business of singular individuals. They are socially derived and inter-subjectively shared and enacted. The social constructionism of Berger and Luckmann stands in strong opposition to the subjective constructivism that people like Paul Watzlawick and others defend. The subjective construction of reality is always based on internalized cultural knowledge and—leaving aside deep pathological aberrations—coordinated with other human actors in interactions or collaboration. As Goffman poignantly puts it: «At some cases only a slight embarrassment flits across the scene in mild concern for those who tried to define the situation wrongly» (Goffman, 1974, 1).

Subjective constructivism leaves out just what Social Construction is all about: reality construction in interaction and conversation, by means of institutionalized social objectivations and typifications, stabilized by routines, institutionalizations and legitimations, and so on. Viewed against this background, subjective constructivism is ahistorical, asocial and blind to institutions. It is noteworthy that phenomenologists and adherents of the methodological individualism (in Weber’s sense) have time and again encountered harsh criticism of being «too subjectivist», especially in American Sociology; but in fact, it is Berger and Luckmann—both phenomenologists as well as methodological individualists—who have always incisively rejected such flat subjectivism!
5. THE LEGACY FOR SOCIOLOGY

It was Berger and Luckmann's aim to move the sociology of knowledge from the periphery to the very centre of sociological theory (Berger & Luckmann, 1967, 29). They have partly succeeded: They managed to alter the consciousness of many sociologists and helped to institutionalize the sociology of knowledge as an acknowledged specialty in the sociological establishment. More encouraging may have been the fact that members of diverse disciplines, such as social psychologists, anthropologists, geographers, historians, ethologists and theologians also showed (and still show) a strong interest in Social Construction. If we consider, for instance, that a discipline like cognitive anthropology moved from the linguistic analysis of terms to the investigation of idioms and is now slowly arriving at the notion of cultural knowledge and its complex relation to action, we can appreciate just how far ahead Berger and Luckmann were twenty-five years ago.

In addition, Social Construction has undoubtedly played a crucial role in making the phenomenology of Alfred Schutz popular to sociologists. Nowadays, phenomenological concepts are found throughout different fields of sociology. The German grand theorists, Habermas and Luhmann, have incorporated phenomenological concepts as central elements. Presently, even rational choice theorists are attempting to integrate Schutz' work on choosing among projects of action to refine their approach. And in the United States, the so-called neo-institutionalists have developed an analysis that claims to draw directly on Social Construction. However, there is also a lively discussion under way about how adequate these theorists are in handling both the phenomenological framework and the social constructionist perspective and its concepts.

Berger and Luckmann themselves stayed close to Schutz. Both agree that their collaboration ended only because of geographical reasons (Berger, 1992, 2; Luckmann, 1992, 4). They also chose, although remaining compatible in principle, to follow different roads of theoretical development. Berger has repeatedly advanced to return to the big questions which are, in his understanding, of a macrosociological sort (Berger, 1992, 2). His major intellectual focus after Social Construction became the problems of modernization and Third World Development. Since 1985 he has been Director of the Institute for the Study of Economic Culture at Boston University, working with an interdisciplinary group of social scientists. He still holds that the way Social Construction related events within institutional structures to movements within the consciousness of individuals is the best guide to deal with social issues: the very concept of economic culture, denoting the interface between economic institutions and various elements of culture (ideas, religion, morality, lifestyles), lends itself beautifully to elaborations in terms of the sociology of knowledge (Berger, 1992, 2).

Lucmann, who has been at the University of Constance since 1970, was first engaged in editing Schutz’ Structures of the Life-World. He then turned to what Berger would term a microsociological analysis, namely a program for the investigation of concrete communicative processes:

These conceptual links, called by some a “theory” of communicative genres, start from the assumption that for recurring communicative problems in social interactions, more or less obligatory patterns of the organization of the communicative process are constructed socially. The system of genres in use, as well as less obligatorily structured communication in social milieus and institutions, may be conceived as the communicative budget of a society. I am convinced that a description of continuities and changes in communicative budgets is a prerequisite for the description and explanation of social stability and change. It provides the form of empirical basis for a study of the manifold historical permutations of the social construction of reality.

The first studies guided by that theoretical program focused on communicative processes which reconstruct various kinds of pasts: alarm calls to the fire department, gossip, conversational transmissions of information and wisdom, religious conversion stories, recapitulations of television programs, etc. The next four-year study will focus on “moralizing” genres. The data will consist of public debates during the Gulf War, “pastoral” counseling on radio programs, anti-smoking campaigns, local ecology appeals, pro- and anti-abortion arguments in various public and semi-public contexts, and the like (Lucmann 1992, 4f).

Lucmann has continued to influence quite a strong group of German sociologists. In analyzing what Berger and Luckmann (1967, 78) called the conversational apparatus in which a common sense of reality is constructed as an ongoing accomplishment in face-to-face situations, they borrow widely from ethnomethodology, ethnography, conversation analysis, symbolic interactionism, cognitive anthropology, and other specialities. By investigating the processes of reality construction locally and in situ, they complement the general level of analysis in Social Construction and materialize what had been Berger and Lucmann’s goal from the outset: to found an empirical sociology of knowledge.

6. THE LEGACY FOR PSYCHOLOGY

There is a special legacy for psychology. The message of Social Construction in this respect is stronger than the rather scattered remarks throughout the book may indicate. Berger and Luckmann advocate a sociologic psychology — a psychology that derives its fundamental perspectives from a sociological understanding of the conditio humana. Let us review the main arguments.

If their analysis of the interrelatedness of subjective and social stocks of knowledge is right, it follows clearly that every type of psychology is based on a cosmology. The investigation of subjective reality always implies some sort of social definition of reality. This becomes particularly manifest in psychotherapy: Both the criteria by which pathological symptoms are identified as well as the therapeutic procedures by which the pathologies shall be cured, are socially defined. They are inevitably bound to a certain cultural world view of a given society. In a sociological perspective, therapies have common features with other procedures of legitimation and often serve a specific ideology. But also beyond pathology and therapy, psychological theories differ considerably from other types of theory: Because of the close nexus between internalization and identification they tend to exert, more than others, socializing effects and shape identities. In a dialectical sense, psychologies produce a reality, which in turn serves as the basis for their verification. Taking this argument to the hilt, we may conclude that psychology must be critical, in the sense that it must permanently reflect its social implications. Although we recognize a certain parallel to Habermas’s postulate to hermeneutically reflect the socially defined background assumptions of social theory, there is a fundamental difference to the Critical Theory of the Frankfurt school: Psychological premises cannot serve as quasi-objective instance to criticize a given society but have to be scrutinized themselves for their cosmological implications.
A second argument for a sociological psychology results directly from the main thesis that reality is a social construction. It is vital to link reality constructions to their plausibility structures, to the interactive processes (often in institutionalized settings) in which they are produced and maintained. Psychology therefore always has to be social psychology: Subjective worlds cannot be detached from social processes in which they are constructed, communicated and sustained; features and properties of individuals cannot be observed and conceived of without investigating the labelling processes in which they are attributed; and personal identities may not be separated from the social structure of a given society in which they are constituted.

Cruical in all these respects is the concept of knowledge: It reflects the dialectic between identity and its biological substratum and thus links social psychology with philosophical anthropology. It also complements G.H. Mead’s dialectic between personal and social identity with the dialectic between subjective and objective anthropology. It also complements G.H. Mead’s dialectic between identity and its biological substratum and thus links social psychology with philosophical anthropology.

Notes

(1) Helmut Dahmer in Soziale Welt (1970/71), Wolf Lepenies in PAZ (1969) and EU. Puppi in Sociologica Ruralis (1971) and many others. I thank Thomas Luckmann for giving me his whole set of collected reviews of the book, and for a very illuminating discussion.

(2) Schütz (1964) and Simmel (1968 (1908)).


(4) Cf., e.g., Holland & Quinn (1987).


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